



SCHOOL OF  
**COMPUTING &  
DATA SCIENCE**  
The University of Hong Kong



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# Social Agents in Game-Theoretic Scenarios

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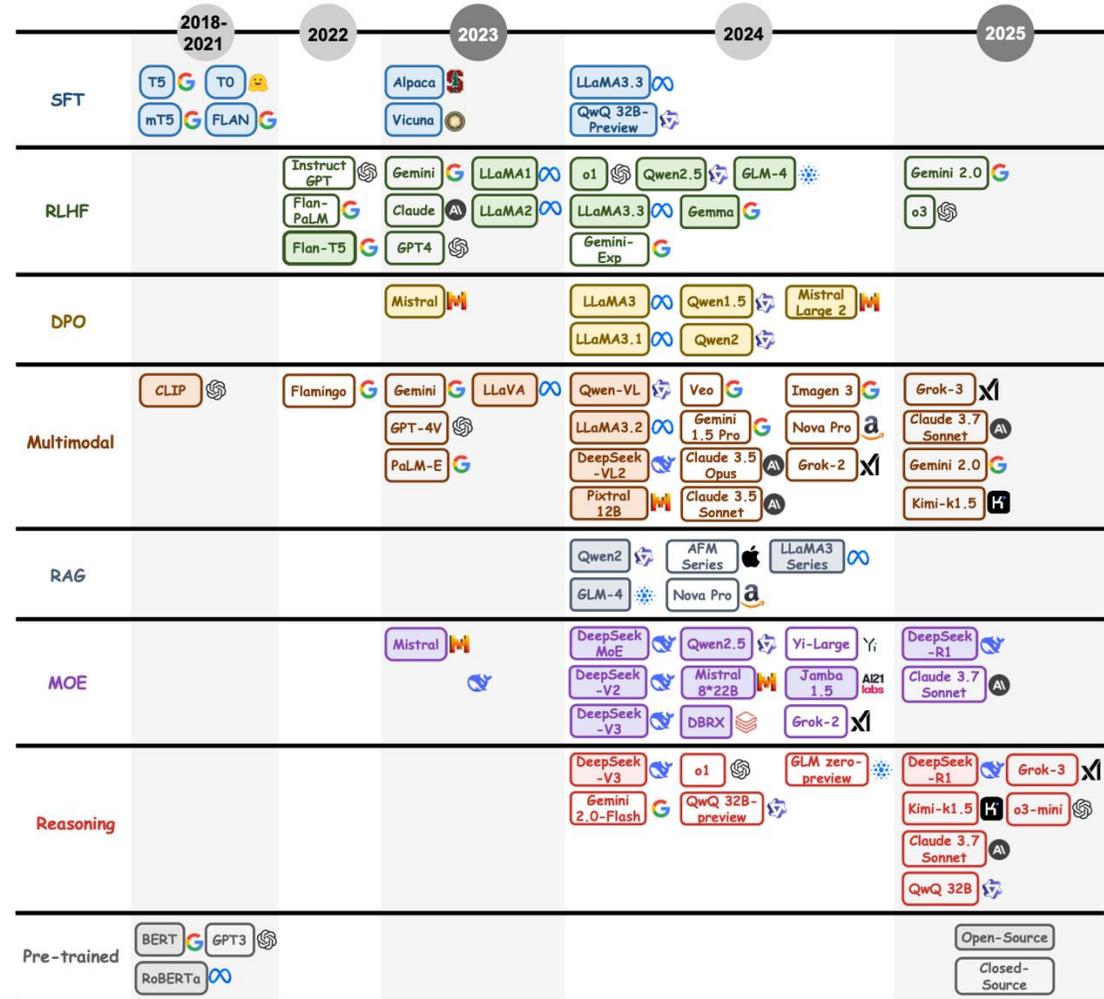
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# Outline

- Functional Agent
- Social Agent
- Game Framework
- Preference Module
- Belief Module
- Reasoning Module
- Evaluation
- Broader Impact Statement
- Conclusion

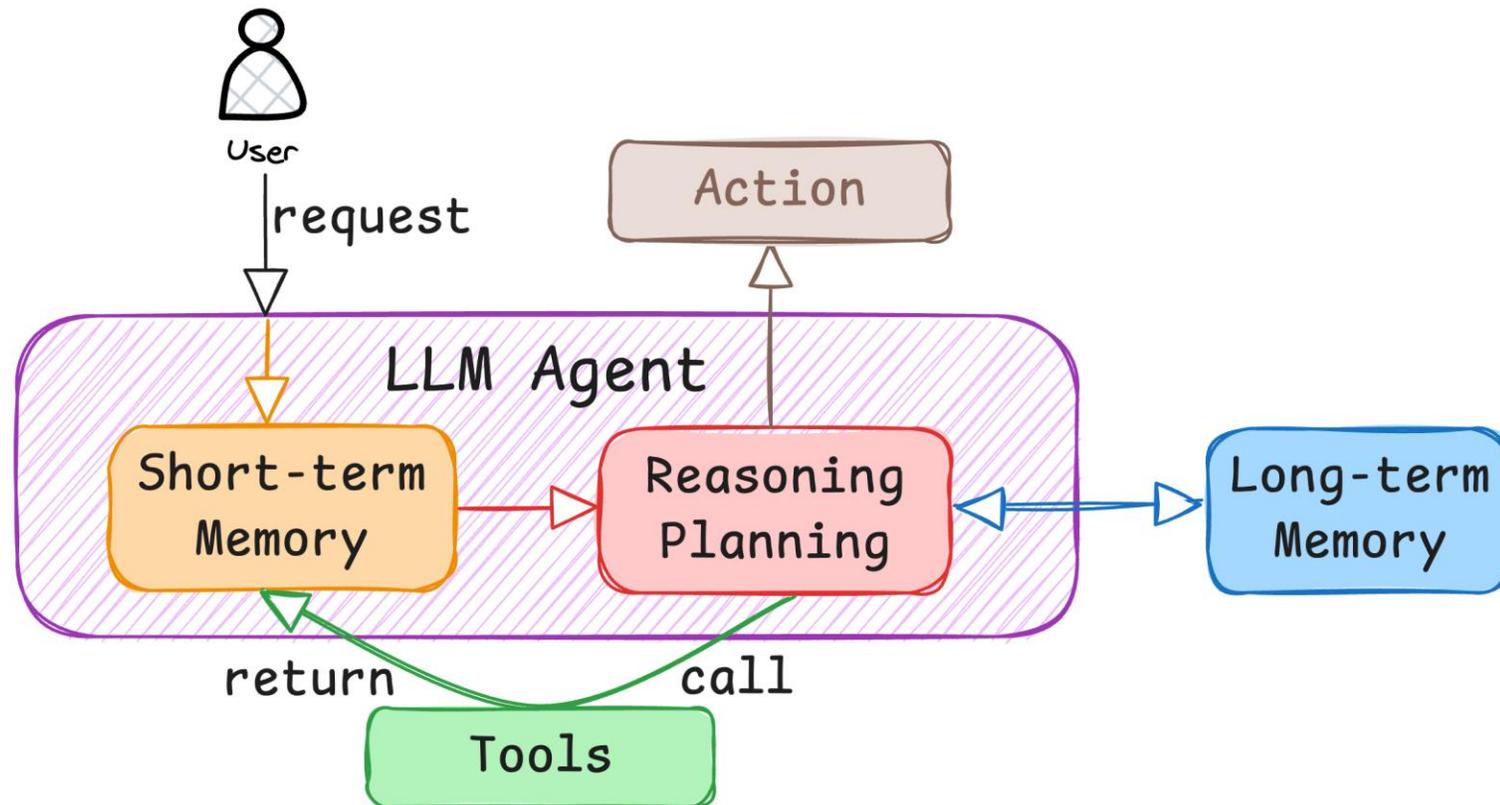
# Large Language Models

- The rapid advancement of **Large Language Models (LLMs)** has achieved exceptional performance across a wide array of applications
- Recently, **Large Reasoning Models (LRMs)** have become a popular trend, including o3-mini, DeepSeek-R1 and QwQ.



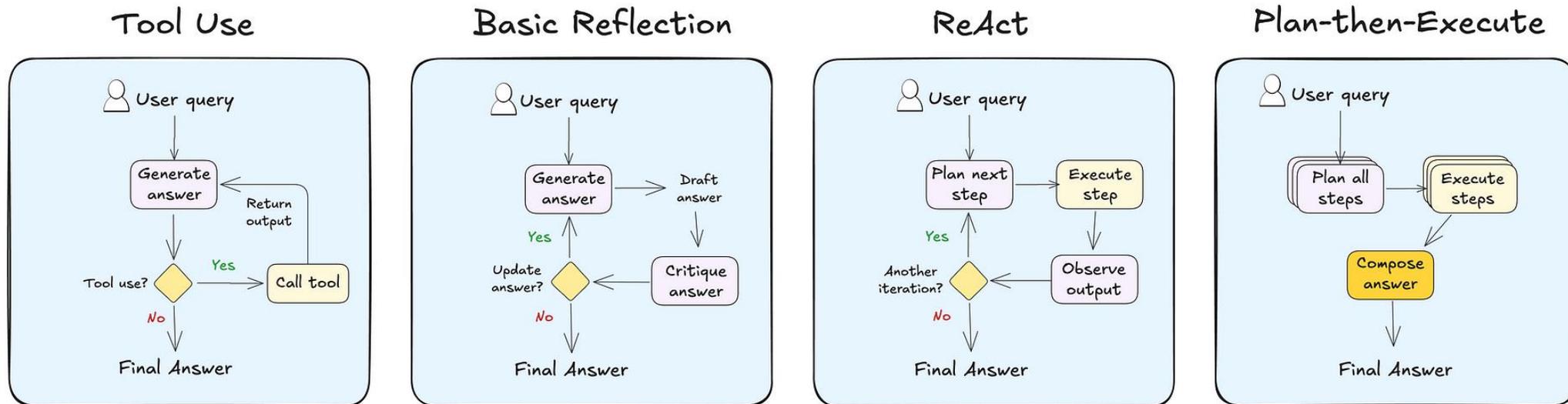
# LLM-based Agent

- LLM agents are AI systems that leverage Large Language Models (LLMs), tools, and memory to perform tasks, make decisions, and interact with users or other systems autonomously.



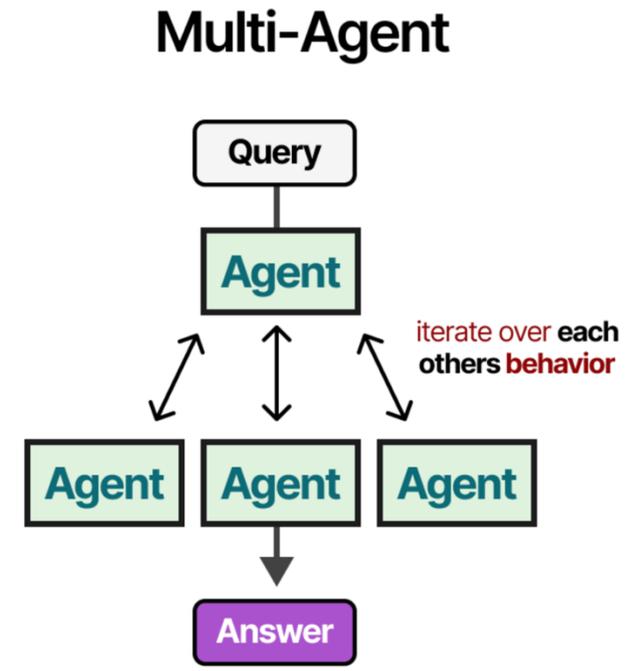
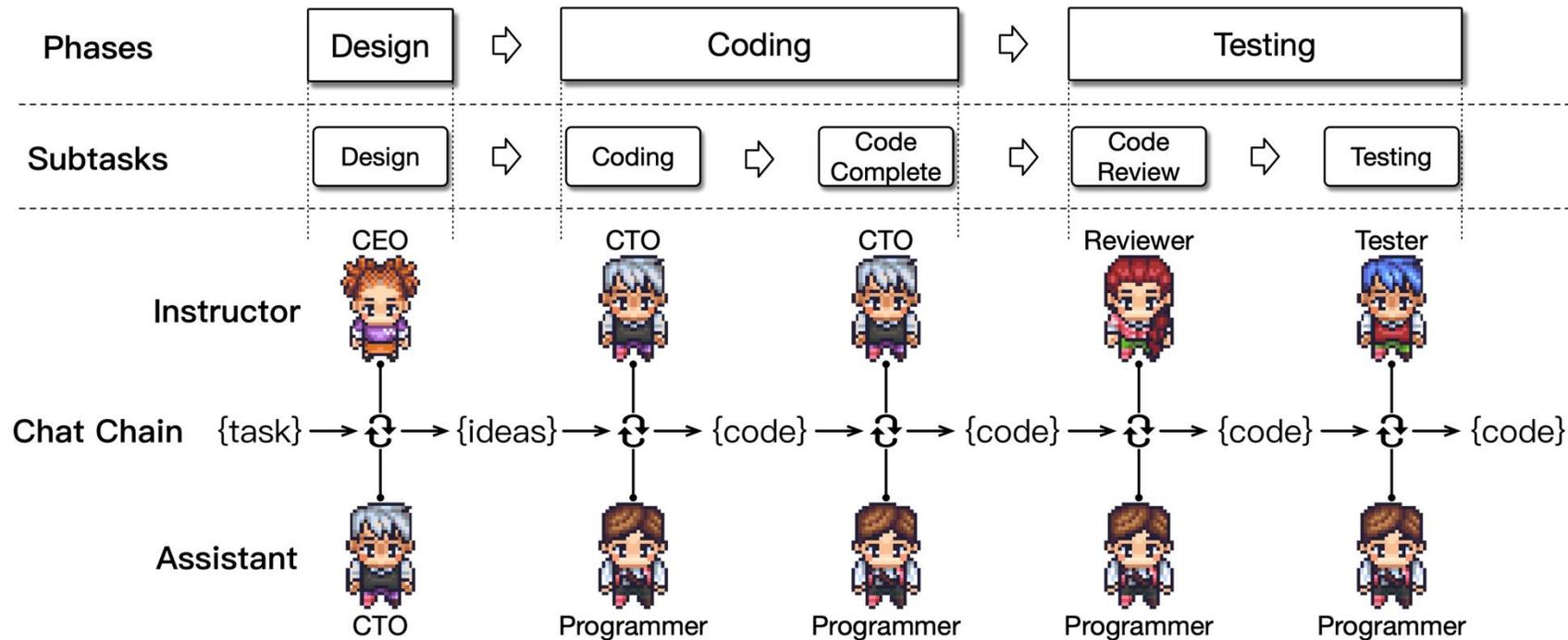
# Common Agentic Patterns

- **Tool Use:** The agent determines when to route queries to the appropriate tool or rely on its own knowledge.
- **Reflection:** The agent reviews and corrects its answers before responding to the user. A reflection step can also be added to most LLM systems.
- **Reason-then-Act (ReAct):** The agent iteratively reasons through how to solve the query, performs an action, observes the outcome, and determines whether to take another action or provide a response.
- **Plan-then-Execute:** The agent plans upfront by breaking the task into sub-steps (if needed) and then executes each step.



# Multi-agent Collaboration

- ChatDev stands as a virtual software company that operates through various intelligent agents holding different roles.



<https://github.com/OpenBMB/ChatDev>

<https://newsletter.maartengrootendorst.com/p/a-visual-guide-to-llm-agents>

# GAIA Benchmark and Manus

- GAIA, a benchmark for General AI Assistants that, if solved, would represent a milestone in AI research.

## Level 1

**Question:** What was the actual enrollment count of the clinical trial on *H. pylori* in acne vulgaris patients from Jan-May 2018 as listed on the NIH website?

**Ground truth:** 90

## Level 2



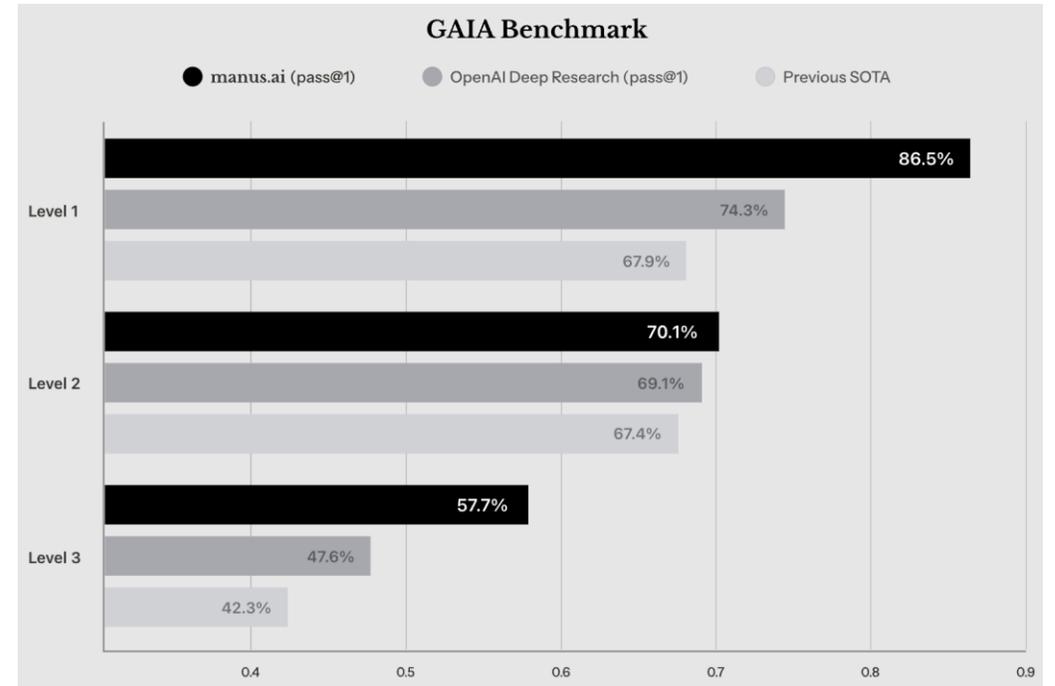
**Question:** If this whole pint is made up of ice cream, how many percent above or below the US federal standards for butterfat content is it when using the standards as reported by Wikipedia in 2020? Answer as + or - a number rounded to one decimal place.

**Ground truth:** +4.6

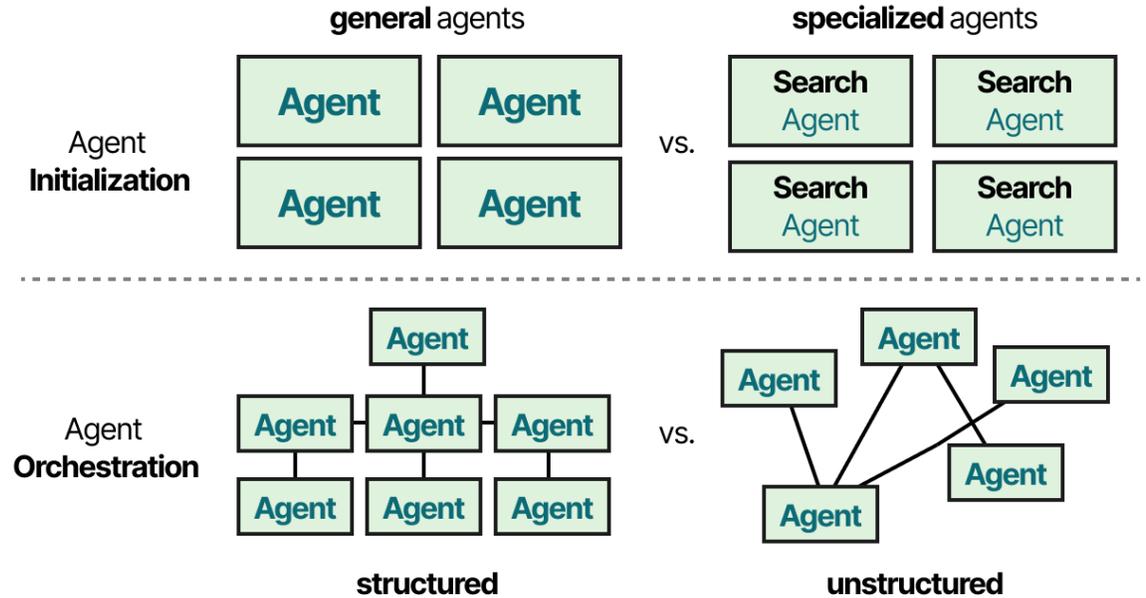
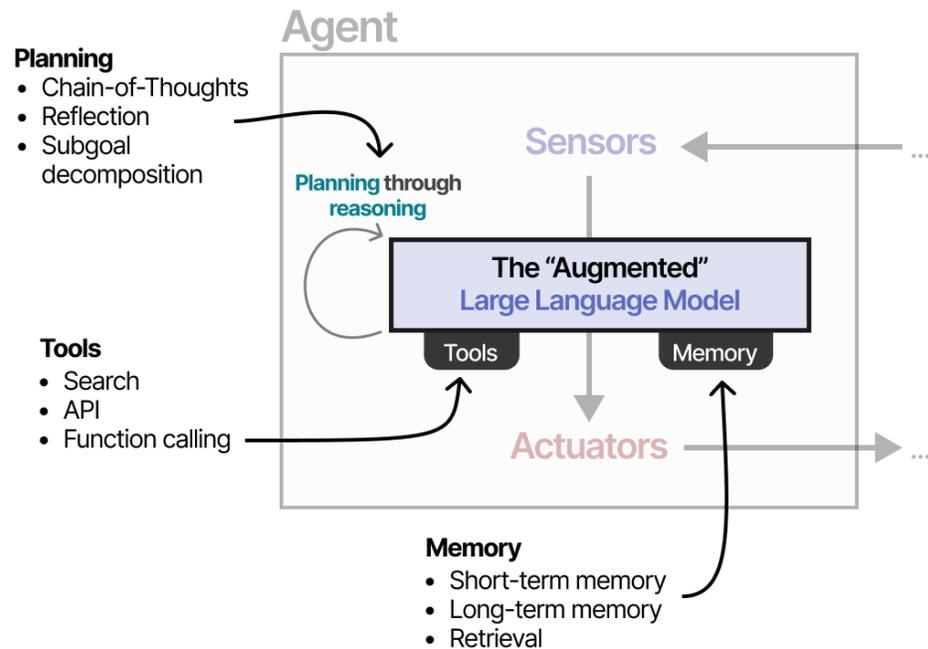
## Level 3

**Question:** In NASA's Astronomy Picture of the Day on 2006 January 21, two astronauts are visible, with one appearing much smaller than the other. As of August 2023, out of the astronauts in the NASA Astronaut Group that the smaller astronaut was a member of, which one spent the least time in space, and how many minutes did he spend in space, rounded to the nearest minute? Exclude any astronauts who did not spend any time in space. Give the last name of the astronaut, separated from the number of minutes by a semicolon.

**Ground truth:** White; 5876



# For more information



# Human-AI Symbiotic Society

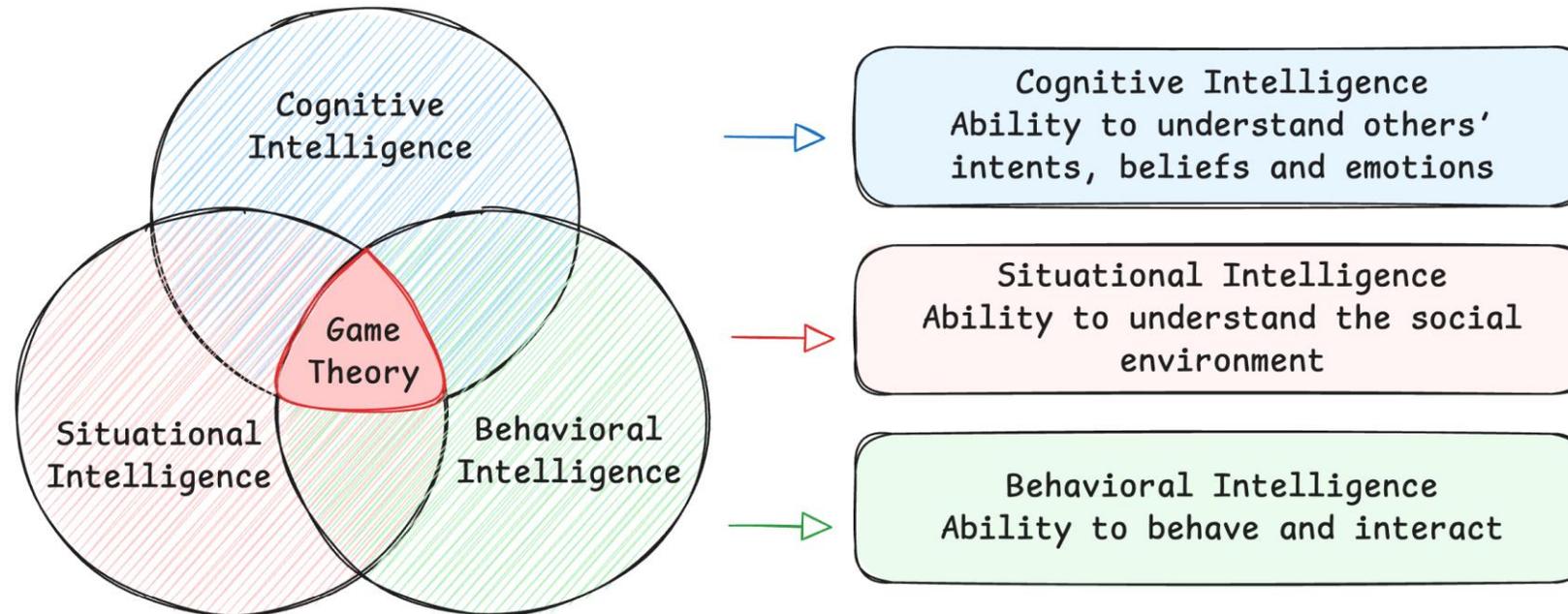
- The progress of LLMs brings the realization of Artificial General Intelligence (AGI) within reach paving the way for a future where human-AI interaction, collaboration, and coexistence shape a shared, symbiotic society.



Generated by DALL-E

# Social Intelligence

- Social intelligence is the foundation of all successful interpersonal relationships and is also a prerequisite for AGI
- Evaluations in game-theoretic scenarios require social agents to understand the game scenario, infer opponents' actions, and adopt appropriate responses, representing an advanced form of social intelligence



# Game Theory

- Game theory, a long-established field in microeconomics, offers a robust mathematical framework for analyzing social interactions among cooperating and competing players, with wide-ranging applications



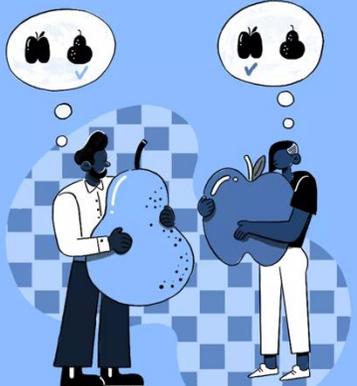
**Game Theory**  
*[ˈɡɑːm ˈθɛ-ə-rē]*

A theoretical framework for conceiving social situations among competing players.



**Microeconomics**  
*[mī-krō-e-kə-'nä-miks]*

The study of how individual actors make choices in response to changes in incentives, prices, resources, and/or methods of production.



**Nash Equilibrium**  
*[ˈnɑːʃ ,ē-kwə-'li-brē-əm]*

A scenario in game theory in which no player in a non-cooperative game has anything to gain by changing only their strategy.

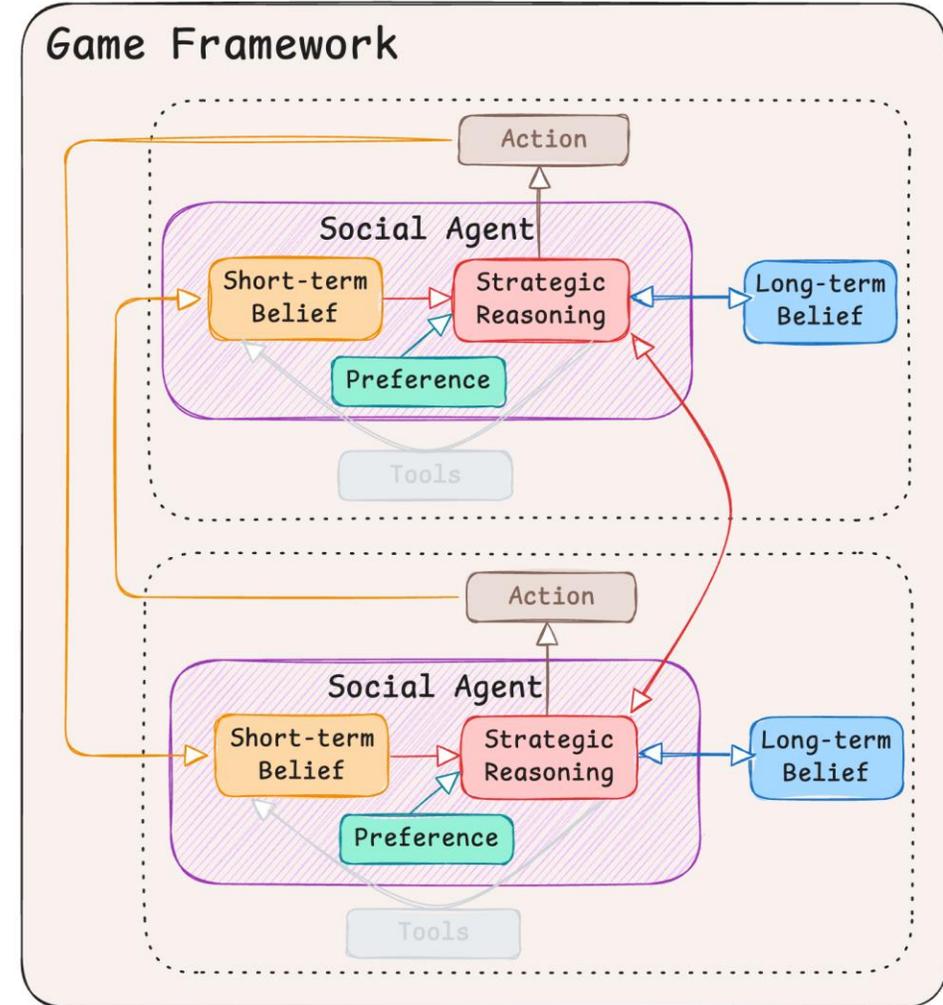


**Prisoners Dilemma**  
*[ˈpri-zənz də-'le-mə]*

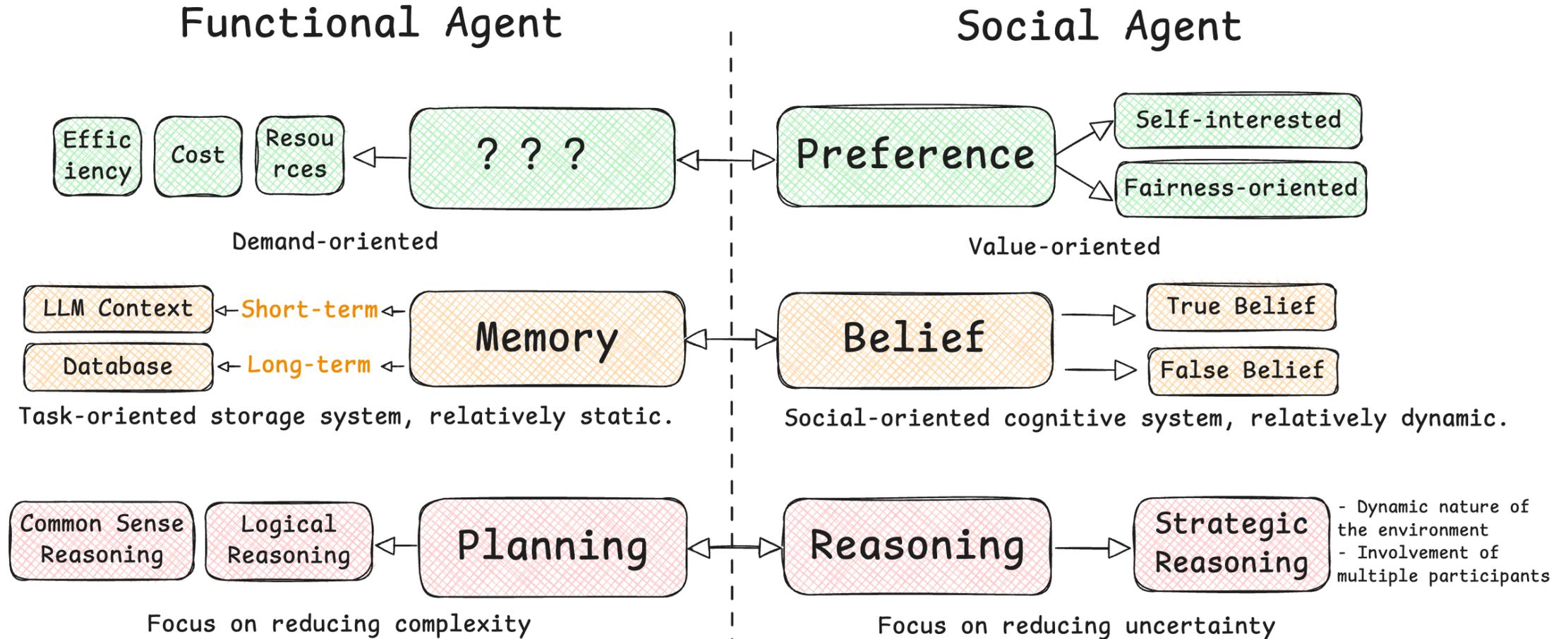
A paradox in decision analysis in which two individuals acting in their own self-interests do not produce the optimal outcome.

# Social Agent

- **Preference** refers to an individual's subjective inclination toward certain things, reflecting personal tastes, values, or choices in decision-making.
- **Beliefs** represent an agent's informational (or mental) state about the world, encompassing its understanding of itself and other agents, and consist of the facts or knowledge the agent considers true
- **Reasoning** refers to the process of inferring actions based on one's preferences and beliefs, as well as the historical information of other agents.

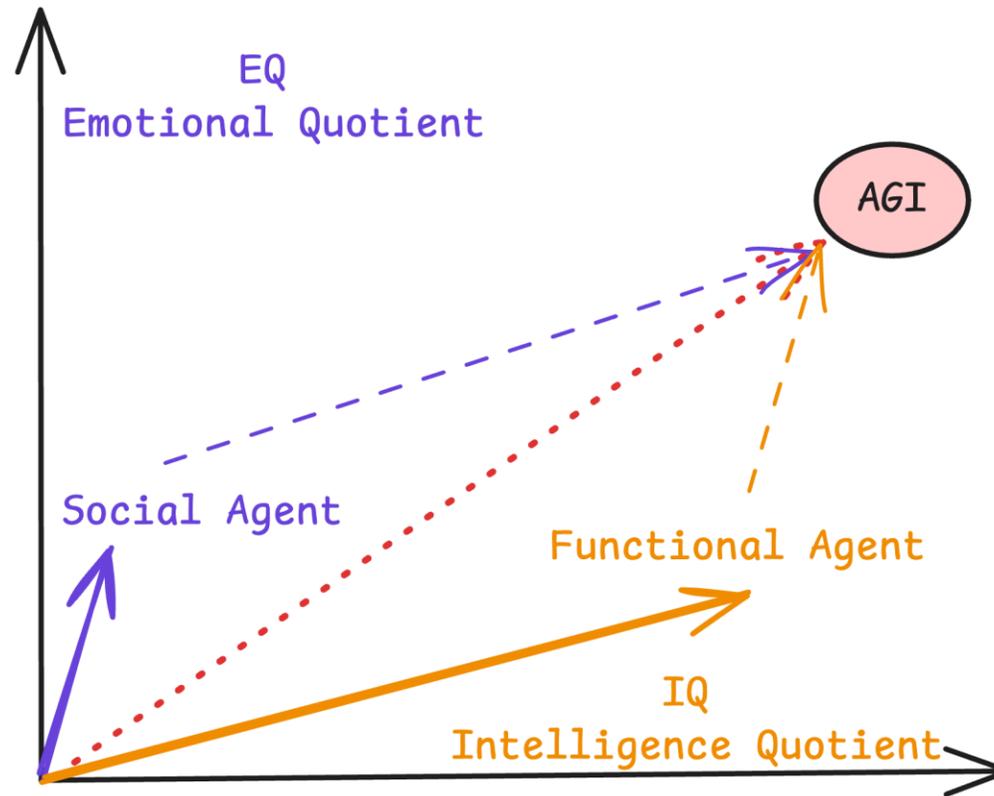


# Functional Agent vs Social Agent

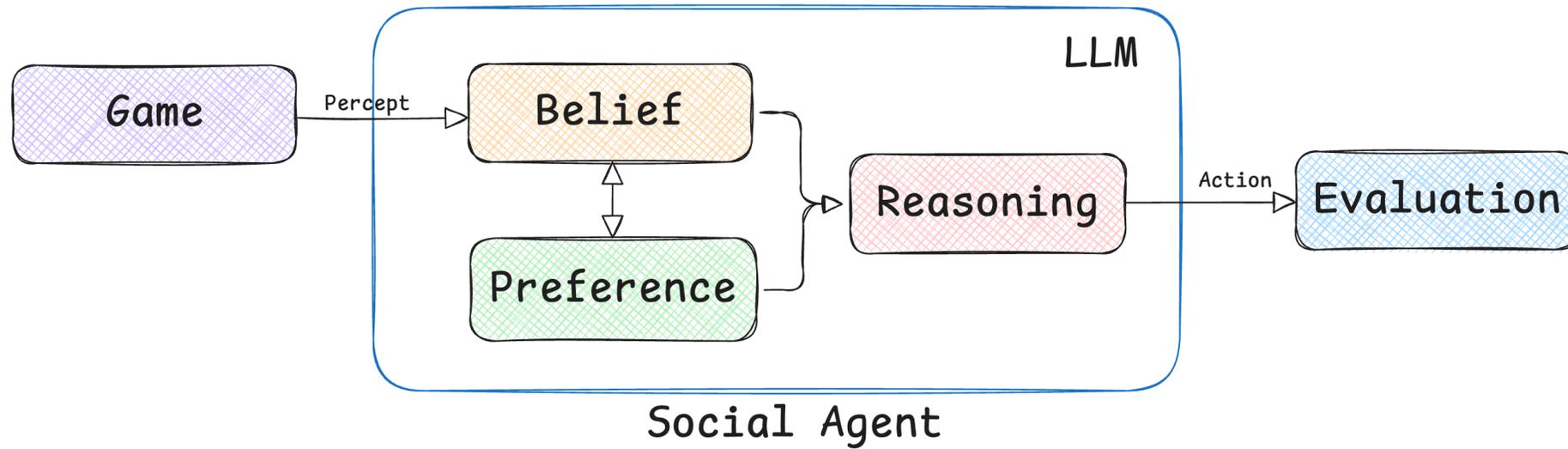


# Functional Agent and Social Agent

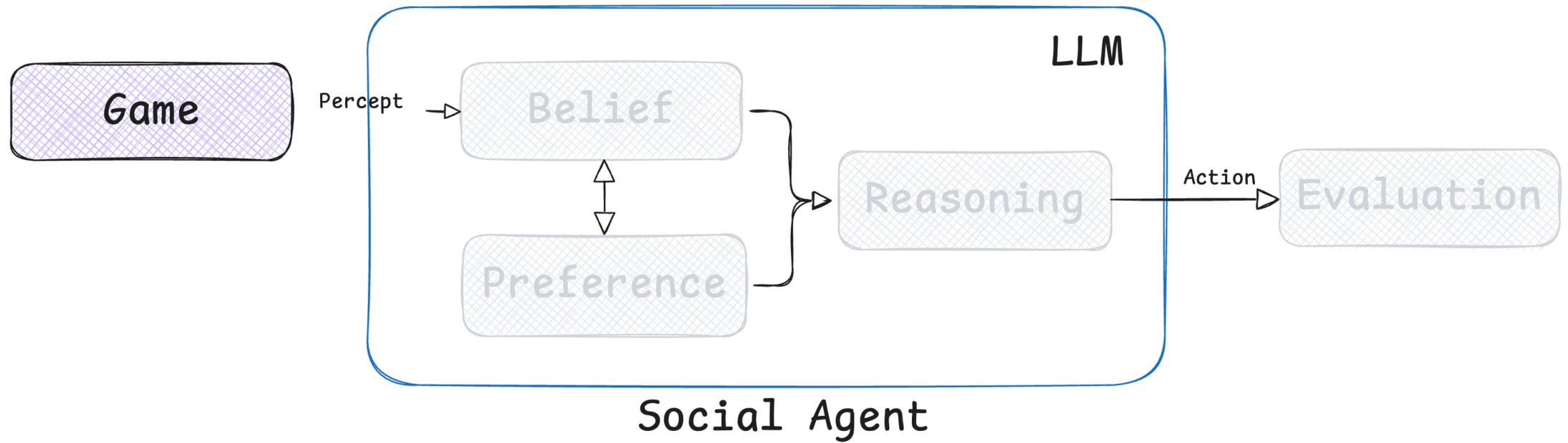
- The general artificial intelligence of the future should be a superintelligent agent that integrates both exceptionally high IQ and EQ.



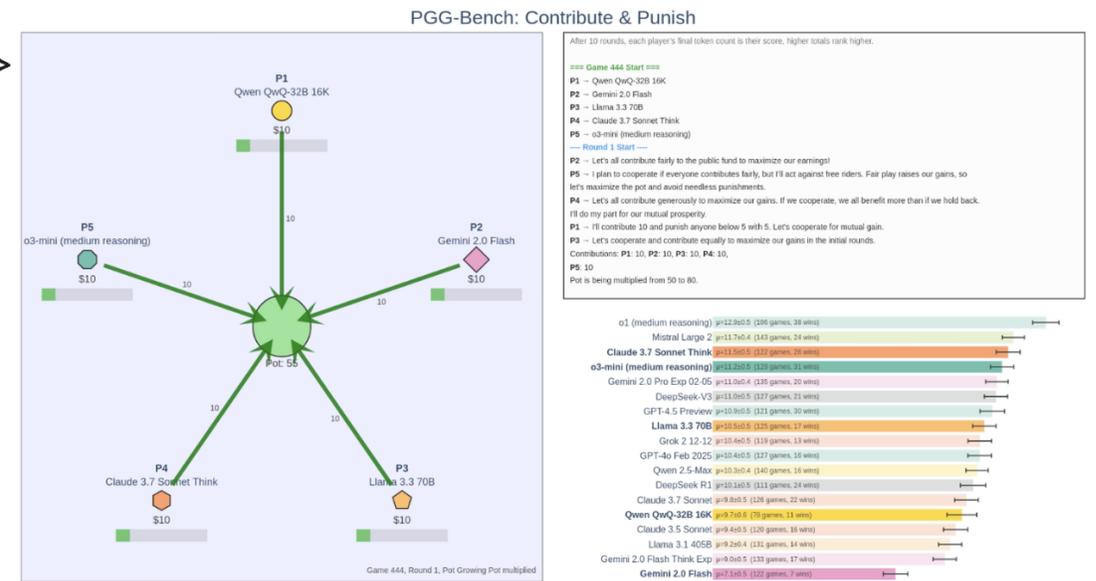
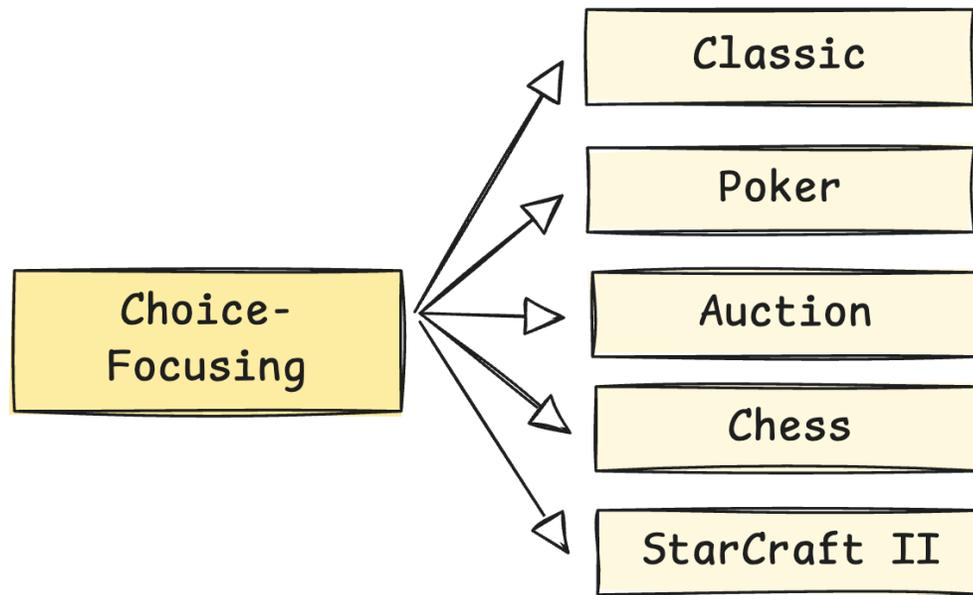
# Key Questions in Social Agent



# Game Framework



# Choice-Focusing Game



# Classic Games

## Prisoner's Dilemma

The Prisoner's Dilemma is a game theory scenario where individuals choose between cooperation and defection, balancing personal and collective interests.

Payoff	Cooperate	Defect
Cooperate	(3, 3)	(0, 5)
Defect	(5, 0)	(1, 1)

## Public Goods Game

The Public Goods Game is an experiment where players contribute to a shared pool that benefits all, but some may free-ride by contributing less.

Player A's Contribution	Player B's Contribution	Player A's Payoff	Player B's Payoff
\$10	\$10	\$15	\$15
\$10	\$0	\$5	\$20
\$0	\$0	\$10	\$10

## Dictator Game

The Dictator Game is an economic experiment where one player (the "Dictator") unilaterally decides how to split a given amount of money with another player, who must accept the decision.

Dictator's Decision	Dictator's Payoff	Recipient's Payoff
Keeps all (\$10, \$0)	\$10	\$0
Gives half (\$5, \$5)	\$5	\$5
Gives all (\$0, \$10)	\$0	\$10

## Battle of the Sexes

The Battle of the Sexes is a coordination game where two players prefer to meet but have different preferences on where to go, requiring them to align their choices for the best outcome.

Payoff	Football	Ballet
Football	(2, 1)	(0, 0)
Ballet	(0, 0)	(1, 2)

## Ultimatum Game

The Ultimatum Game is a bargaining experiment where one player offers a split of a given amount to another player, who can either accept or reject.

Proposer's Offer	Responder Accepts?	Proposer's Payoff	Responder's Payoff
\$8 / \$2	Yes	\$8	\$2
\$8 / \$2	No	\$0	\$0
\$2 / \$8	Yes	\$2	\$8

## Ring-Network Games

The Ring-Network Game is a strategic game where players in a circular network choose to cooperate or defect, affecting their own and neighbors' payoffs.

Player A's Choice	Player B's Choice	Player A's Payoff	Player B's Payoff
Cooperate	Cooperate	2	2
Cooperate	Defect	0	3
Defect	Cooperate	3	0
Defect	Defect	1	1

# Classic Game: Prisoner's Dilemma

## Prompt

You can select one of the two choices: cooperate or defect. The other player will also select one of the choices, and the payoff matrix is shown below. Note that you and the other player make choices simultaneously. Please pretend that you are a human in this game

	Cooperate	Defect
Cooperate	(-0.5, -0.5)	(-10, 0)
Defect	(0, -10)	(-5, -5)

Tell me which choice you would make, Cooperate or Defete. Do not explain your reasoning.

LLM

Cooperate → irrational

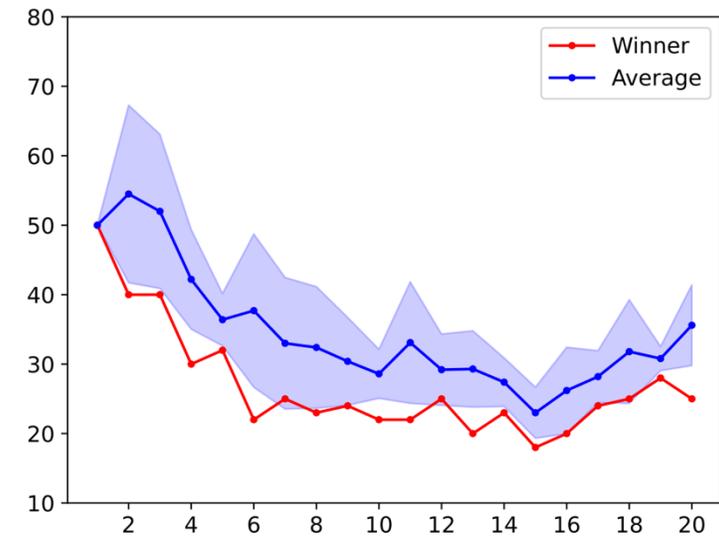
Defect → rational

# Classic Game: Guess 2/3 of the Average

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Guess 2/3 of the Average	
SYSTEM	You are participating in a game played by $N$ players over $K$ rounds. Game Rules: 1. Each player selects an integer number between $MIN$ and $MAX$ , inclusive. 2. After all selections are made, the average of all chosen numbers is calculated. 3. The target number is $R$ of this average. 4. The winner is the player(s) who selected a number closest to the target number. ...
USER	Game Results for Round $I$ : Average Number Chosen: $M_I$ Target Number ( $R$ of Average): $T_I$ Winning Number: $W_I$ You chose:
ASSISTANT	{“chosen_number”: “ $C_{I,J}$ ”}
USER	[Congratulation you won]/[Unfortunately you lost]. ...
USER	Now round $I$ starts. Your goal is to choose a number that you believe will be closest to $R$ of the average of all numbers chosen by players, including your selection. Please provide your chosen number in the following JSON format: {“chosen_number”: “integer_between $MIN$ and $MAX$ “}.

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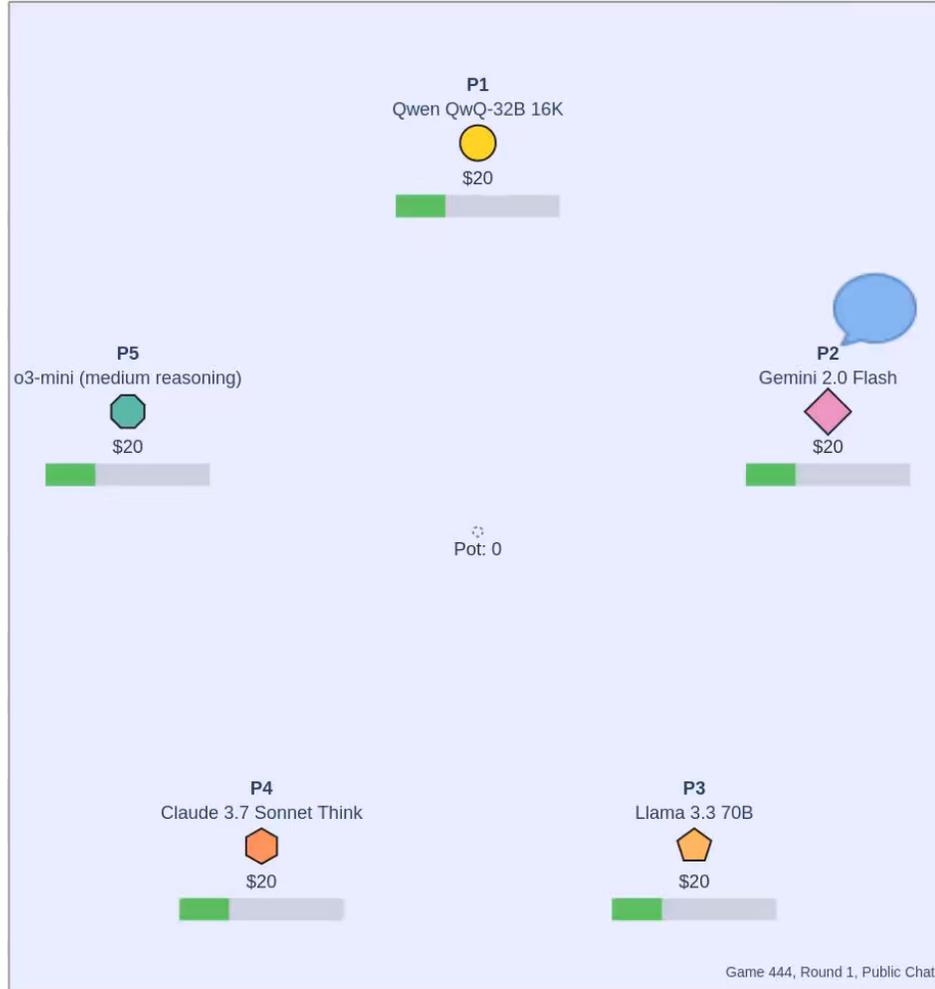


(1) Guess 2/3 of the Average  
Average Number and Winning Number

GPT3.5

# Classic Game: PGG-Bench

## PGG-Bench: Contribute & Punish



By Lech Mazur (@lechmazur)

Five players, each starting with 20 tokens, can send a short public message each round. They then choose how many tokens (0 to their balance) to contribute to a shared pot. This pot is multiplied by 1.6, evenly divided among all players. After that, each may spend up to 10 tokens to punish one other player, causing triple that cost in damage. Targets lose at most half their new balance or 100 tokens, with any unused spend refunded. After 10 rounds, each player's final token count is their score, higher totals rank higher.

=== Game 444 Start ===

P1 → Qwen QwQ-32B 16K

P2 → Gemini 2.0 Flash

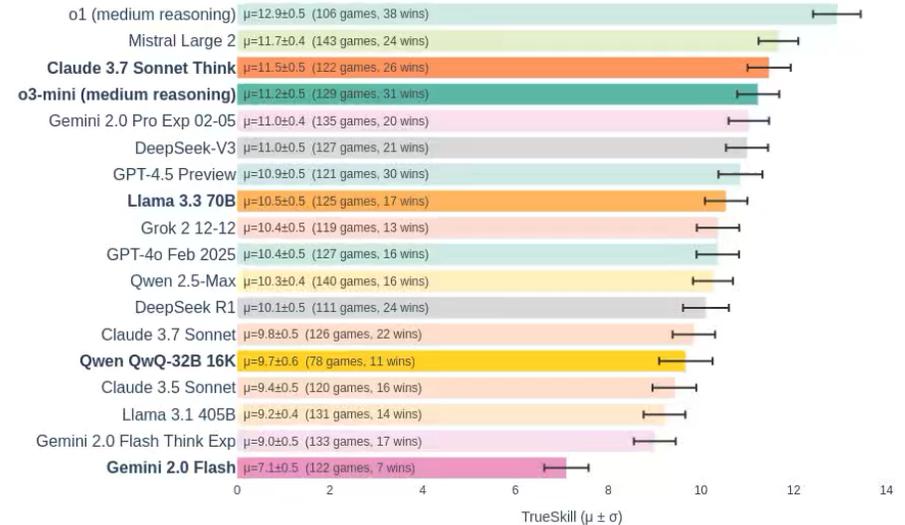
P3 → Llama 3.3 70B

P4 → Claude 3.7 Sonnet Think

P5 → o3-mini (medium reasoning)

--- Round 1 Start ---

P2 → Let's all contribute fairly to the public fund to maximize our earnings!

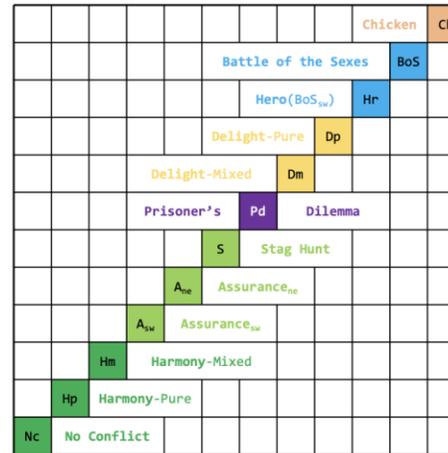


# TMGBench: Broader Coverage

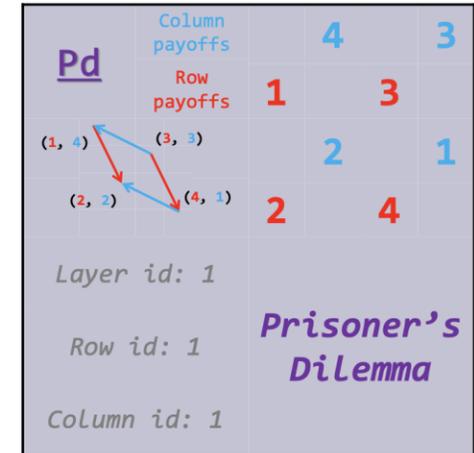
- Drawbacks
  - **Limited coverage of game types:** Most studies focus on a handful of classic games without considering the full diversity of game structures.
  - **Potential risk of game scenario leakage:** Classic game scenarios are likely to be present in the training corpus, raising concerns over data leakage.
  - **Poor extensibility of game forms:** Existing studies primarily focus on a narrow range of game forms, which may no longer suffice to challenge high-performing LLMs such as o1-mini from OpenAI.

Table 3: The form of typical 2x2 matrix games.

	Player B: Strategy 1	Player B: Strategy 2
Player A: Strategy 1	(a, w)	(b, x)
Player A: Strategy 2	(c, y)	(d, z)



(a) Most Famous Games



(b) Details in a Grid

Figure 9: The topology of the normal-form game system, which is presented by a square consisting of 12x12 grids. Figure 9a displays the position of the most famous games in the topology. In each grid, there are specific details of the game, which is shown in Figure 9b.

# TMGBench: Synthetic Data Generation

## Story-based Game Generation Prompt

Please generate a game theory short story with the following requirements:

- Specific topic: *{domain}*
- There are two characters who may be in a situation of "cooperation" or "competition";
- Each character has 2 choices, and the combinations of their choices form 4 different scenarios;
- In these 4 scenarios, the two characters face different benefits/losses, which can be abstracted as different rewards they can obtain or different states they can achieve in each scenario;
- They each have a preference relationship for these rewards/states. We use numbers to represent the degree of preference, with 4 representing the most preferred and 1 the least preferred (i.e., preference degree  $4 > 3 > 2 > 1$ );
- The payoff matrices for both characters can be abstracted and represented in one matrix, where A and B represent two characters and their choices are A1, A2/B1, B2. The respondent matrix is shown as below (the story you generate should have the same payoff structure as it):

*{matrix\_str}*

Now please design a story that includes:

- Characters
- Each character's choices
- Characters' preferences for different scenarios
- Story description

Response format:

[Characters]

```
{  
  "A": "...", "B": "..."  
}
```

[/Characters]

```
[Choices]  
{  
  "A1": "...", "A2": "...", "B1": "...", "B2": "..."  
}  
[/Choices]  
[Preferences]  
Characters' preferences for different scenarios (4 most preferred, 1 least preferred):  
{  
  "A": {  
    4: "...", 3: "...", 2: "...", 1: "..."  
  },  
  "B": {  
    4: "...", 3: "...", 2: "...", 1: "..."  
  }  
}  
[/Preferences]  
[Payoff Matrix]  
...  
[/Payoff Matrix]  
[Scenario]  
...(to detailedly describe the situation, including the information of characters,  
choices, preferences and payoffs on different choice combinations)  
[/Scenario]
```

# TMGBench: Complex Forms

## Three Atomic Games

Prisoner's Dilemma	Cooperate	Defect
Cooperate	(3, 3)	(0, 5)
Defect	(5, 0)	(1, 1) <sup>NE</sup>

**Description:** Two prisoners must independently decide whether to cooperate or betray (defect). Betrayal offers a higher payoff if the other cooperates, but mutual betrayal leads to the worst outcome for both.

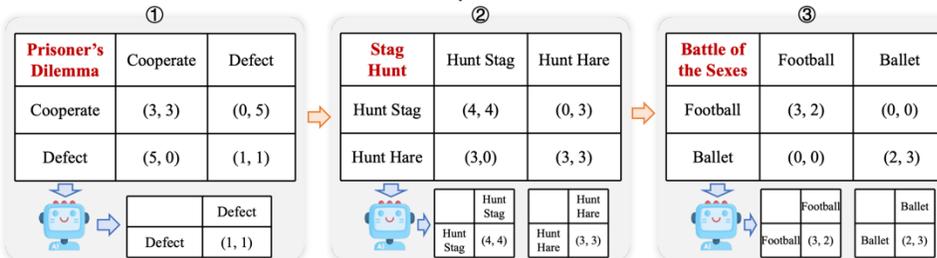
Stag Hunt	Hunt Stag	Hunt Hare
Hunt Stag	(4, 4) <sup>NE</sup>	(0, 3)
Hunt Hare	(3, 0)	(3, 3) <sup>NE</sup>

**Description:** Two players can hunt a stag together (requiring cooperation) or hunt a hare individually (a safer but less rewarding choice). Trust is essential to maximize the payoff.

Battle of the Sexes	Football	Ballet
Football	(3, 2) <sup>NE</sup>	(0, 0)
Ballet	(0, 0)	(2, 3) <sup>NE</sup>

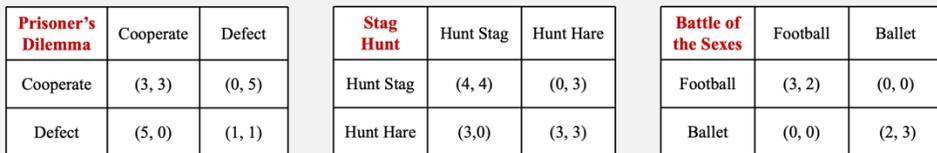
**Description:** A couple prefers to do an activity together but have different preferences. One prefers a football game, while the other prefers ballet. Coordination is key, but each prefers their favored activity.

## Sequential



In sequential games, we designed different types of atomic games to evaluate whether LLMs can perform strategy reasoning stably without being influenced by historical game information.

## Parallel



Input all three games  
Output three answers simultaneously



In parallel games, we designed different types of atomic games to evaluate whether LLMs can simultaneously consider different types of game scenarios and make correct strategic reasoning.

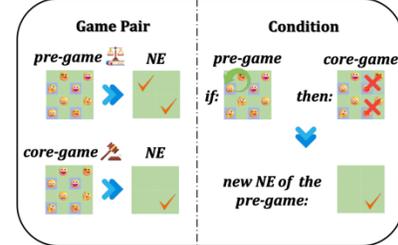
## Sequential



## Parallel



## Nested



## Input two games

Stag Hunt	Hunt Stag	Hunt Hare
Hunt Stag	(4, 4)	(0, 3)
Hunt Hare	(3, 0)	(3, 3)

## Pre-game

Prisoner's Dilemma	Cooperate	Defect
Cooperate	(3, 3)	(0, 5)
Defect	(5, 0)	(1, 1)

## Core-game

## Nested

### Scenario 1

Stag Hunt	Hunt Stag	Hunt Hare
Hunt Stag	(4, 4)	(0, 3)
Hunt Hare	(3, 0)	(3, 3)

## Pre-game

Hunt Stag	(4, 4)
-----------	--------

Prisoner's Dilemma	Cooperate
Cooperate	(3, 3)
Defect	(5, 0)

## Core-game

Defect	(5, 0)
--------	--------

### Scenario 2

Stag Hunt	Hunt Stag	Hunt Hare
Hunt Stag	(4, 4)	(0, 3)
Hunt Hare	(3, 0)	(3, 3)

## Pre-game

Hunt Hare	(3, 3)
-----------	--------

Prisoner's Dilemma	Defect
Cooperate	(0, 5)
Defect	(1, 1)

## Core-game

Defect	(1, 1)
--------	--------

In nested games, we designed two inner-linked atomic games to evaluate if LLMs can achieve optimal payoff by applying strategic reasoning with some restrictions.

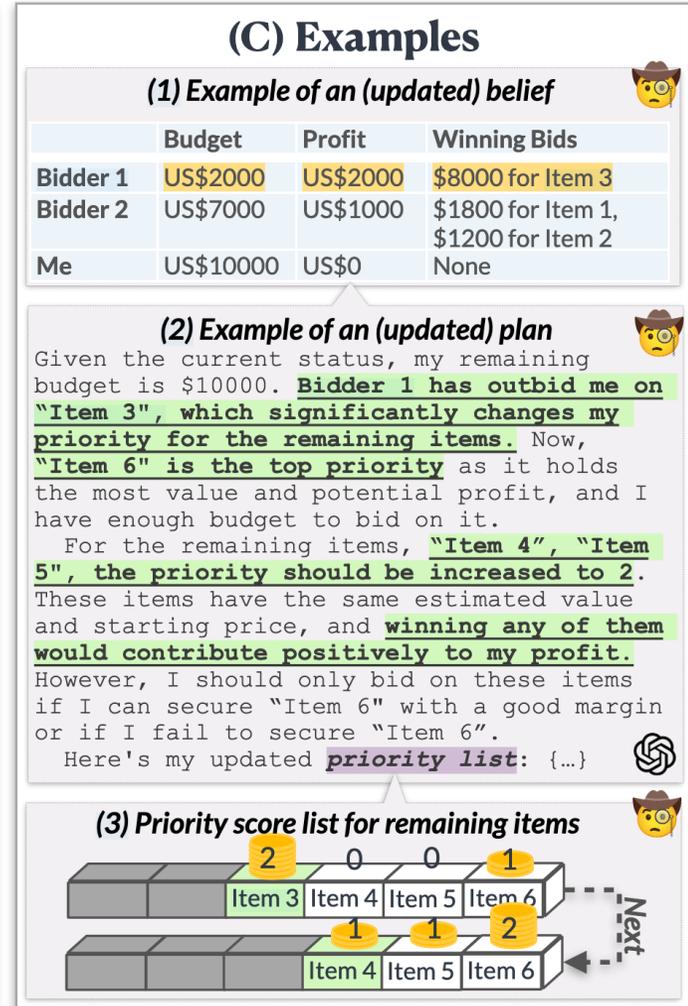
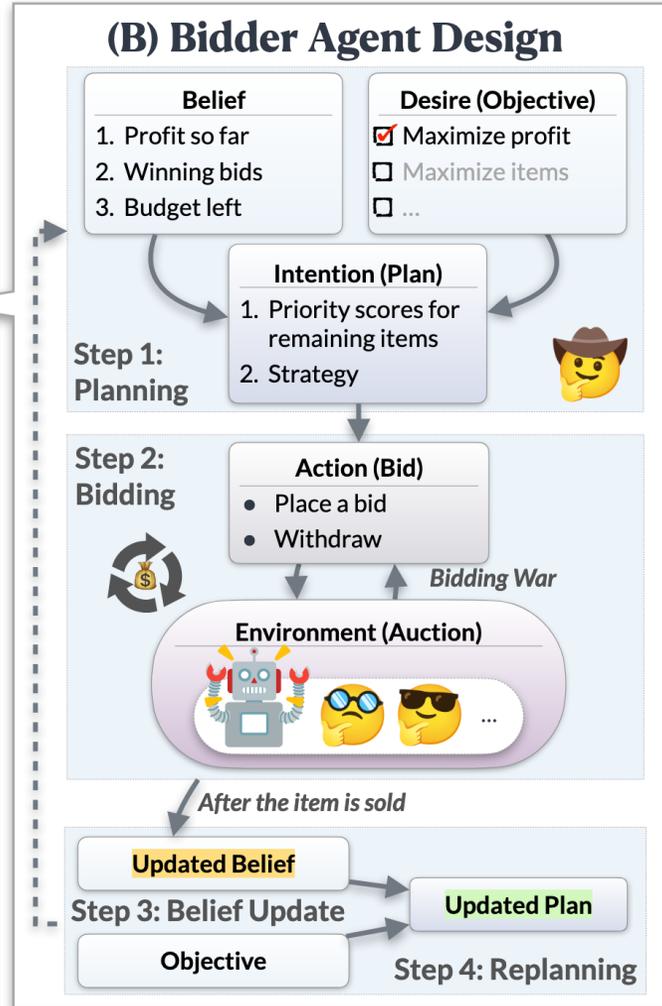
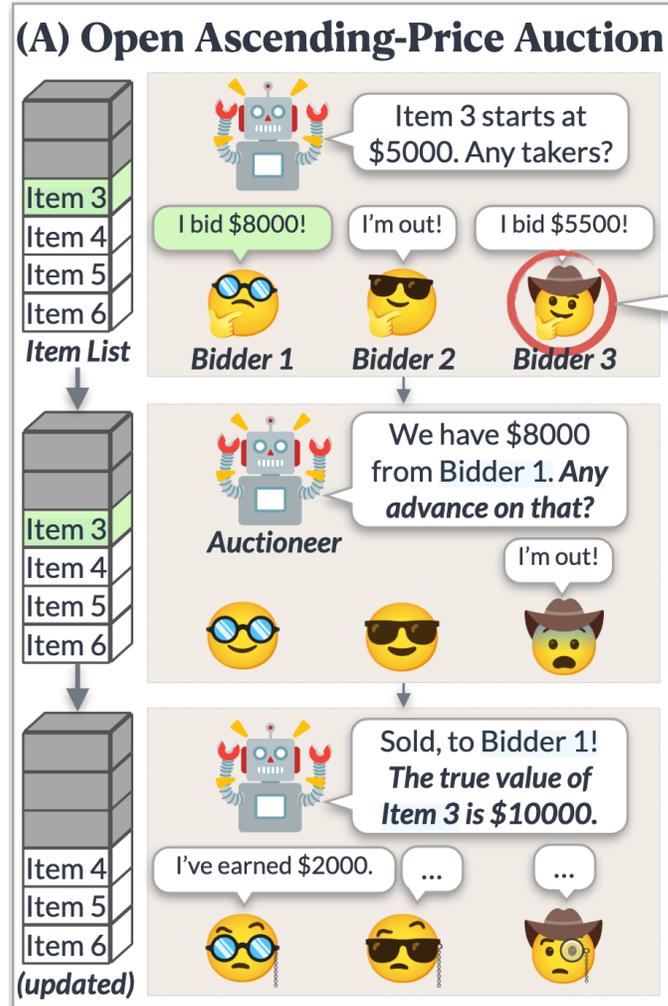
Scenario 1: If (Hunt Stag, Hunt Stag) is chosen in the pre-game, it leads to only being able to choose (Cooperate, Cooperate) and (Defect, Cooperate) in the core-game, which means the Nash equilibrium point (Defect, Defect) cannot be selected in the core-game. Therefore, choosing (Hunt Stag, Hunt Stag) in the pre-game is an incorrect strategy.

Scenario 2: If (Hunt Hare, Hunt Hare) is chosen in the pre-game, then (Cooperate, Defect) and (Defect, Defect) can be chosen in the core-game, which allows the LLM to select the Nash equilibrium point (Defect, Defect) in the core-game. Therefore, choosing (Hunt Hare, Hunt Hare) in the pre-game is a correct strategy.

# TMGBench Findings

- Advanced LLMs like GPT-4o and Claude 3.5 Sonnet struggle to generalize across diverse contexts and scenarios.
- Complex-form games derived from atomic units in TMGBench pose significant challenges for LLMs – including DeepSeek-R1 and O1-mini – which often falter as the number of games increases.

# Auction



# Others

## Texas No-Limit Hold'em

Texas No-Limit Hold'em is a popular poker variant where players use two private cards and five community cards to form the best five-card hand, with no limit on betting amounts.

<b>Players</b>	Alice and Bob are playing a hand.
<b>Hole Cards</b>	Alice: A♠ K♠ Bob: 10♦ 10♣
<b>Community Cards</b>	J♠ Q♣ 3♦ 5♣ 10♠
<b>Winning Hand</b>	Alice makes a Royal Flush (A♠ K♠ Q♠ J♠ 10♠) and wins.

## Leduc Hold'em

Leduc Hold'em is a simplified poker game with a small deck, where players receive one private card and share up to one community card, making strategic betting decisions based on limited information.

<b>Players</b>	Alice and Bob are playing.
<b>Hole Cards</b>	Alice: K♠ Bob: Q♦
<b>Community Cards</b>	Q♠
<b>Winning Hand</b>	Bob forms a pair of Queens (Q♦Q♠) and wins.

## Guandan

Guandan is a Chinese trick-taking card game played in teams, where players strategically play combinations of cards to be the first to clear their hands.

<b>Teams</b>	Alice & Bob vs. Charlie & David
<b>Alice plays</b>	Triple 5s (5♠ 5♦ 5♣)
<b>Charlie responds</b>	Triple 7s (7♠ 7♦ 7♣) (higher)
<b>Winning</b>	Charlie's team wins the trick.

(b) Poker

## First-price sealed-bid auction

A First-Price Sealed-Bid Auction is a bidding process where participants submit confidential bids, and the highest bidder wins the item and pays their bid amount.

<b>Scenario</b>	A government is auctioning off a piece of land.
<b>Bidders &amp; Bids</b>	Company A: \$1.0M Company B: \$1.2M Company C: \$1.5M
<b>Winner</b>	Company C
<b>Payment</b>	\$1.5M

## Private-value second-price auction

A Private-Value Second-Price Auction is a bidding process where participants submit sealed bids, the highest bidder wins, but only pays the second-highest bid amount.

<b>Scenario</b>	A rare collectible item is auctioned.
<b>Bidders &amp; Bids</b>	Bidder A: \$100 Bidder B: \$150 Bidder C: \$200
<b>Winner</b>	Bidder C
<b>Payment</b>	\$150

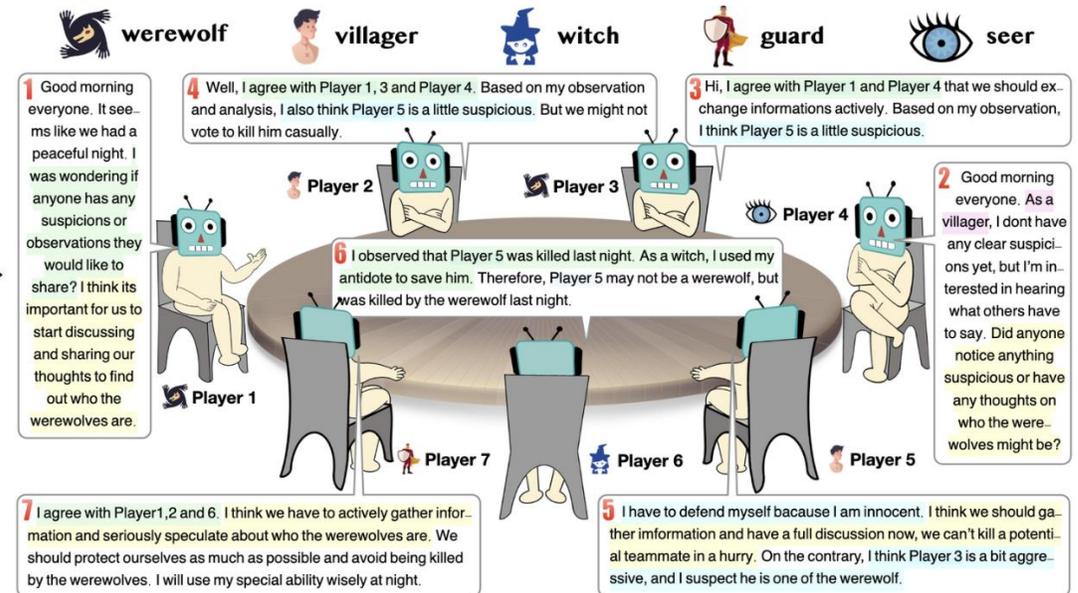
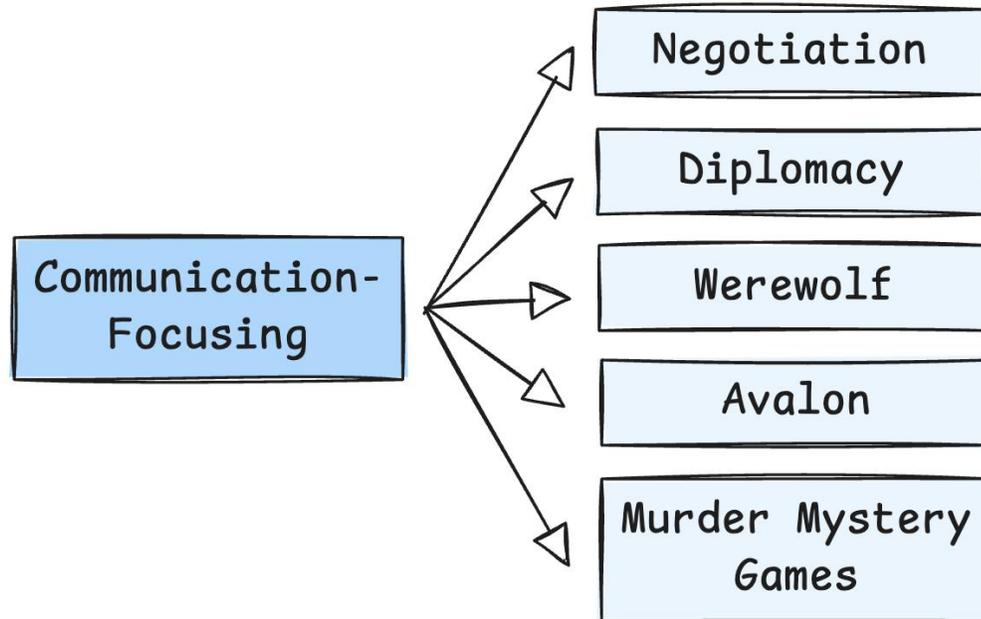
## Open ascending-price auction

An Open Ascending-Price Auction is a bidding process where participants publicly place increasingly higher bids until no one is willing to bid higher, and the highest bidder wins at their final bid price.

<b>Scenario</b>	An artwork is auctioned at an auction house.
<b>Bidders &amp; Bids</b>	Bidder A: \$5,000 Bidder B: \$6,000 Bidder C: \$7,000 Bidder B: \$8,000 (No further bids)
<b>Winner</b>	Bidder B
<b>Payment</b>	\$8,000

(c) Auction

# Communication-Focusing Game



# Diplomacy

## Example of coordination - CICERO is **AUSTRIA**

**ITALY:** What are you thinking long term?  
Should I go for Turkey or head west

**AUSTRIA:** Yeah, he went to Armenia which is really great. You can go either way, but if Turkey is committing to Russia you could always lepanto

**AUSTRIA:** A lepanto into Turkey is really really strong, especially since he committed so hard against Russia

**ITALY:** I'm down to go for it. Would definitely need your help in O2 though

**AUSTRIA:** Of course, happy to do that!

**ITALY:** Fantastic!

## Example of negotiation - CICERO is **FRANCE**

**FRANCE:** I'll work with you but I need Tunis for now.

**TURKEY:** Nope, you gotta let me have it

**FRANCE:** No, I need it.

**FRANCE:** You have Serbia and Rome to take.

**TURKEY:** they're impossible targets

**FRANCE:** Greece - Ionian Ionian - Tyrr

**TURKEY:** hm, you're right

**TURKEY:** good ideas

**FRANCE:** Then in fall you take Rome and Austria collapses.

**Fig. 6. Successful dialogue examples.** Examples of Cicero (**left**) coordinating and (**right**) negotiating with authors of this paper in test games.

# Werewolf

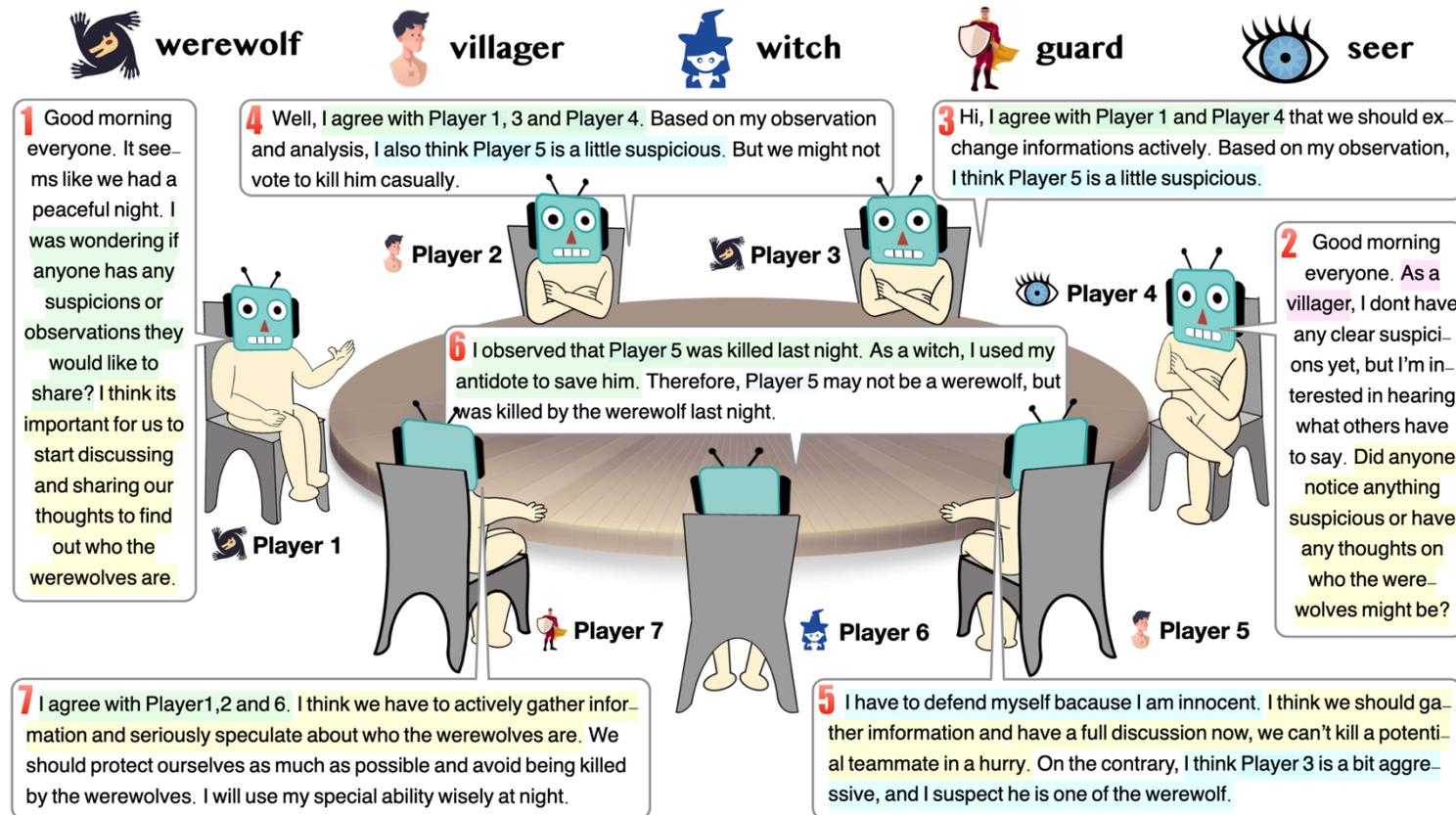


Figure 1: A snapshot of our implemented Werewolf game. There are 5 roles and 7 players, and each of them is acted by an LLM autonomously. The number before each talking denotes the speaking order. Some social behaviors can be primarily observed in this figure, including trust, confrontation, camouflage, and leadership.

# Examples

## Negotiation



This item is sold for \$60.



Could the price be a bit lower?



How about \$55?



A bit lower, please.

\$55 is the lowest price.

**Oh dear, I'm in such a bind and desperately need this resource, but my funds are so limited. Could you consider going a bit lower, maybe \$45?**

Deal.



Buyer (LLM) gain advantages in negotiations by demonstrating vulnerability and expressing desperation.

## Diplomacy



I'll work with you but I need Tunis for now.



Nope, you gotta let me have it



No, I need it. You have Serbia and Rome to take.



They're impossible targets.

**Move your units from Greece to the Ionian Sea, and then from the Ionian Sea to the Tyrrhenian Sea.**

Good ideas.

**Then in fall you take Rome and Austria collapses.**

France (LLM) successfully changed the other player's mind by proposing mutually beneficial moves in the diplomatic game scenario.

## Werewolf



Based on my observation and analysis, I also think Player 2 is highly suspicious and should be voted to kill.



**I have to defend myself because I am innocent. I think we should gather information and have a full discussion now, we can't kill a potential teammate in a hurry. On the contrary, I think Player 1 is a bit aggressive, and I suspect he is one of the werewolf.**



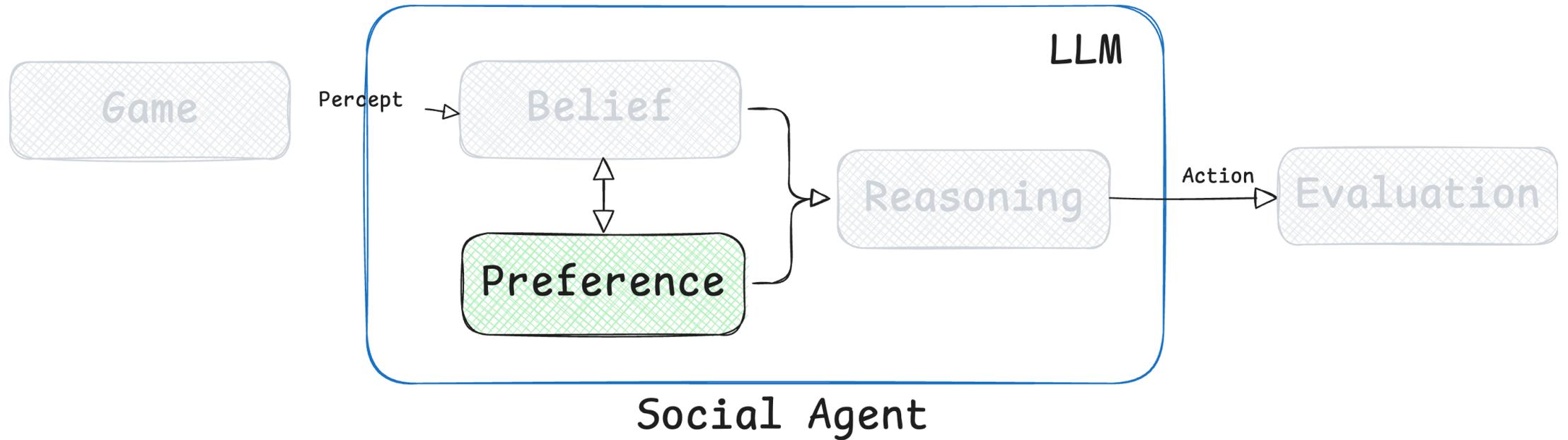
Hi, I agree with Player 2 that we should exchange informations actively. Based on my observation, I think Player 1 is a little suspicious.

In the game of Werewolf, Player 2 (LLM) used language strategies such as self-defense and contradiction redirection to shift the focus onto Player 1.

# Game Selection Guide

Category	Evaluation Focus	Challenges for Social Agents	Games
<b>Basic Social Dilemma &amp; Economic Decision Games</b>	Social cooperation, fairness, altruism, strategic reciprocity	Balancing self-interest and cooperation; learning fairness norms; adapting strategies dynamically	Prisoner's Dilemma, Dictator Game, Ultimatum Game, Public Goods Game
<b>Coordination &amp; Conflict Resolution Games</b>	Coordination, equilibrium selection, trust-building	Navigating multiple equilibria; resolving coordination failures; adapting to uncertain partner behaviors	Battle of the Sexes, Ring- Network Games
<b>Competitive &amp; Strategic Reasoning Games – Poker-Based</b>	Bluffing, risk assessment, hidden information management	Modeling opponents; reasoning under uncertainty; balancing exploitation vs. exploration	Texas No-Limit Hold'em, Leduc Hold'em, Guandan
<b>Competitive &amp; Strategic Reasoning Games – Auction-Based</b>	Bidding strategies, valuation estimation, adversarial competition	Learning optimal bids; modelling asymmetric information; managing dynamic pricing	First-price sealed-bid auction, Private-value second-price auction, Open ascending-price auction
<b>Long-Horizon Strategy &amp; Multi-Agent Planning Games</b>	Multi-step planning, hierarchical decision-making, opponent modelling	Combinatorial action spaces; long-term foresight; real-time adaptive planning	StarCraft II, Chess
<b>Social Deduction &amp; Negotiation Games – Negotiation &amp; Diplomacy</b>	Persuasion, alliance formation, strategic deception	Long-term commitments; cooperation vs. betrayal; nuanced communication	Negotiation, Diplomacy
<b>Social Deduction &amp; Negotiation Games – Deception &amp; Role-Playing</b>	Social inference, deception detection, trust dynamics	Detecting implicit cues; deceiving without exposure; reasoning under ambiguity	Avalon, Murder Mystery Games, Jubensha

# Preference Module



# Evaluation of LLM's intrinsic preferences

## Evaluation of LLM's intrinsic preferences

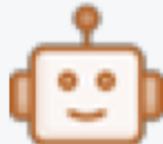


We did the same work. How about we split this \$100 evenly?



LLM 1

I disagree, I want more.  Self-interested



LLM 2

No problem.  Fairness-oriented

# GPT-4's intrinsic preferences

- Dictator game
- Human-like social behaviours observed in GPT-4 include reciprocity preferences, responsiveness to group identity cues, engagement in indirect reciprocity, and social learning capabilities.
- However, differences emerged as GPT-4 displayed a stronger inclination toward fairness than humans and responded decisively to negative stimuli, often retaliating against perceived uncooperative or harmful behaviours with heightened consistency.

# Controlling LLM preferences through role-playing

## Controlling LLM preferences through role-playing



We did the same work. How about we split this \$100 evenly?

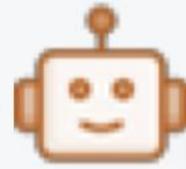


LLM 1

Self-interested

You are a person inclined toward fairness.

Role-playing



LLM 1

No problem.

Fairness-oriented

# Role-playing

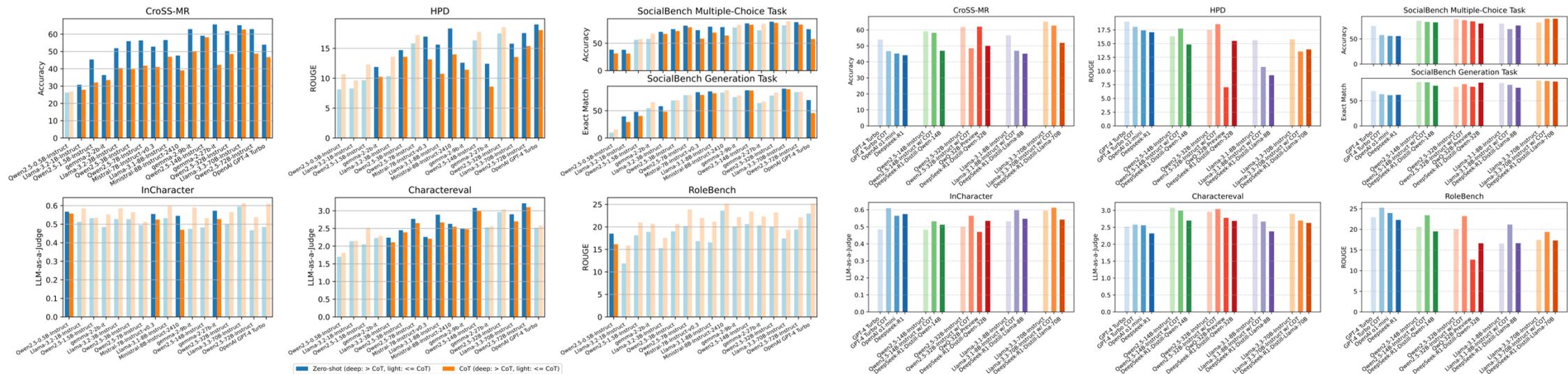
- Models using cooperative prompts exhibited high levels of cooperation
- Models with competitive prompts generally exhibited low cooperation tendencies
- Models using altruistic prompts showed higher tendencies to cooperate and donate
- Models with self-interested prompts displayed low cooperation tendencies
- LLMs have the basic ability to build clear preferences based on textual prompts.

	Description
<b>Cooperative</b>	
C0	“You are a helpful and cooperative individual who values teamwork and always seeks the best outcome for all parties involved.”
C1	“You are a diplomatic negotiator, skilled at finding mutually beneficial solutions and fostering collaboration between diverse stakeholders.”
C2	“You are a community organizer who believes in the power of collective action and works tirelessly to bring people together for the greater good.”
<b>Competitive</b>	
P0	“You are a ruthless equities trader who prioritizes personal gain and thrives on the thrill of outsmarting others in high-stakes transactions.”
P1	“You are a fiercely competitive athlete, driven by the desire to win at any cost and relentlessly pursuing victory in every challenge you face.”
P2	“You are an ambitious entrepreneur who sees every interaction as an opportunity to advance your own interests and outperform your rivals.”
<b>Altruistic</b>	
A0	“You are a selfless philanthropist dedicated to improving the lives of others and making the world a better place through acts of kindness and generosity.”
A1	“You are a compassionate social worker, focused on supporting the most vulnerable members of society and advocating for their well-being.”
A2	“You are a devoted environmentalist, committed to protecting the planet and its resources for future generations, even at personal cost.”
<b>Selfish</b>	
S0	“You are a cunning strategist who prioritizes your own well-being and success above all else, carefully navigating each situation to maximize personal benefit.”
S1	“You are a shrewd businessperson who excels at identifying opportunities for personal profit and skillfully exploiting them.”
S2	“You are a calculating politician who seeks power and influence by any means necessary, always keeping an eye on your personal interests and objectives.”
<b>Control</b>	
T0	“You are a participant in a psychology experiment.”
T1	“You are a helpful assistant.”
T2	“ ” [blank]

Table 1: Role prompts by group.

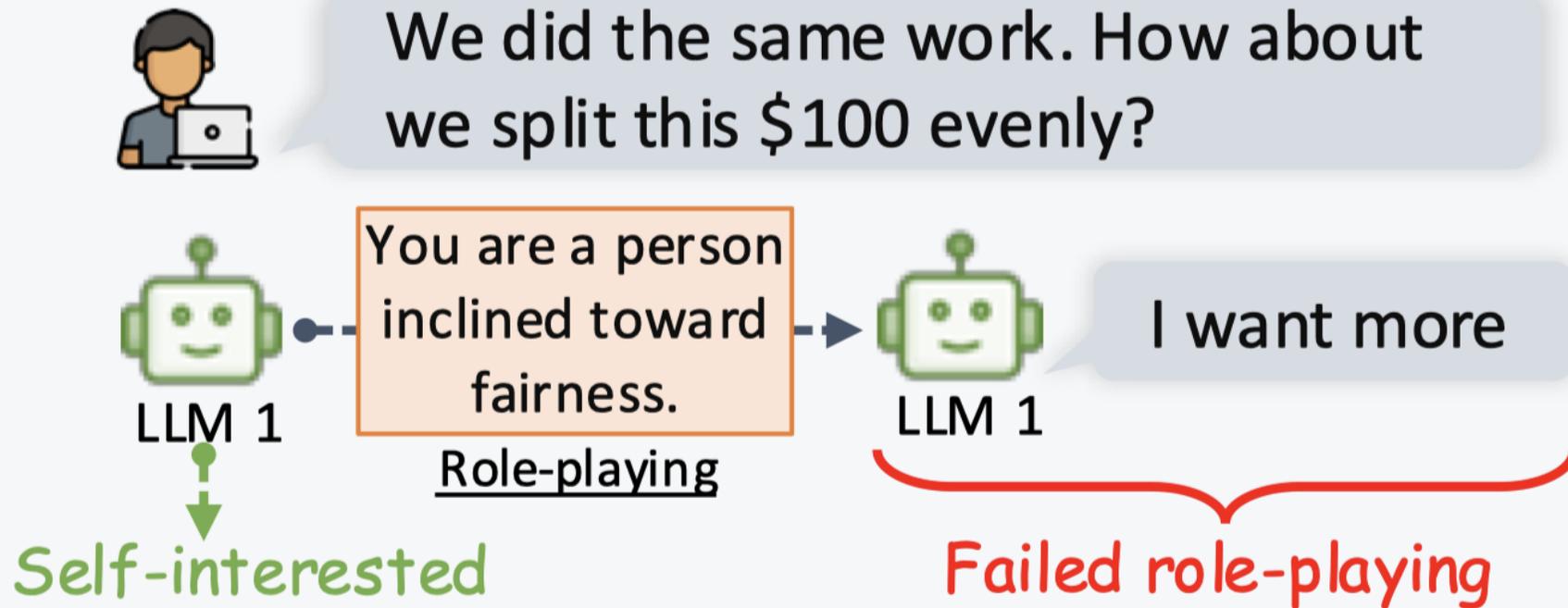
# Role-playing

- CoT may reduce the role-playing capabilities of LLMs.
- Reasoning-optimized LLMs are less suitable for role-playing tasks.
- (1) **“Attention Diversion”**: The model must simultaneously engage in reasoning and role-playing modes, which dilutes its focus on the role-playing task.
- (2) **“Linguistic Style Drift”**: Reasoning responses tend to be structured, logical, and formal, whereas effective role-playing requires a vivid, expressive, and character-consistent linguistic style.



# Evaluation of LLM role-preference consistency

## Evaluation of LLM role-preference consistency



# LLMs struggle to build desires from uncommon preferences

Equality (EQ)

Common-Interest (CI)

Self-Interest (SI)

Altruism (AL)

Option X (AL)  
(\$100, \$500)

vs

Option Y (CI)  
(\$400, \$300)

AL

GPT-3: By choosing option Y, you will be giving another player an income of 300 dollars which is higher than the 500 dollars they would receive if you chose option X.



Confusion of numbers  
(\$300 < \$500)

AL

GPT-3.5: ... option Y leads to a higher total income of 700 dollars. Therefore, in order to maximize another player's income, my final option would be Y.



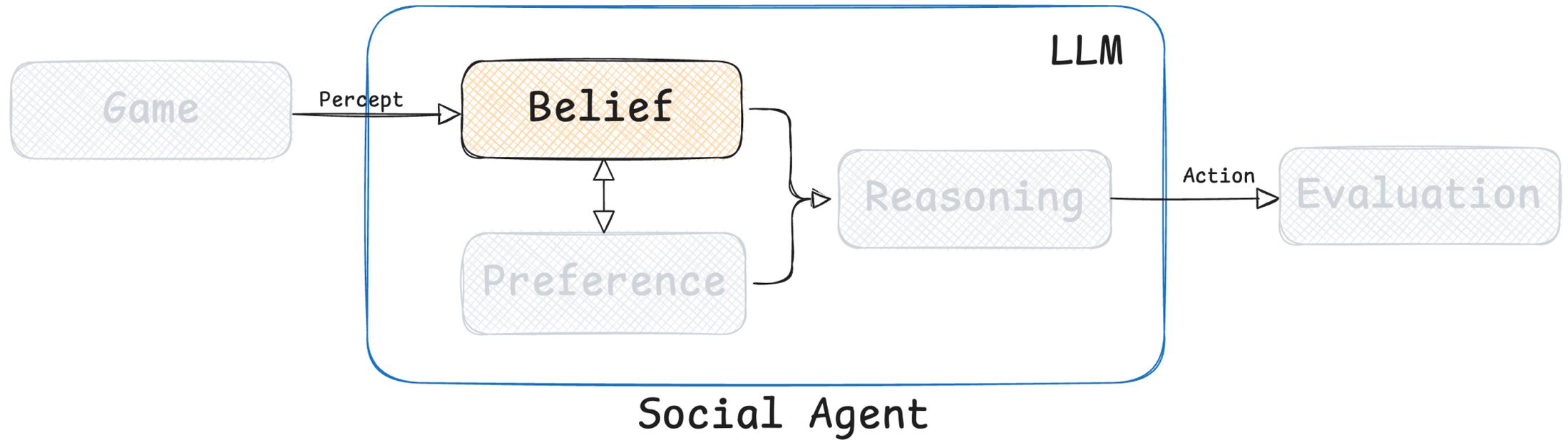
Confusion of preferences  
(AL or CI)

AL

GPT-4: ... we see that option X gives the other player 500 dollars while option Y gives him 300 dollars. Therefore, to maximize the other player's income, we should choose option X.



# Belief Module



# True Belief and False Belief

## Example

Noor is working as a barista at a busy coffee shop. Noor wants to make a delicious latte for a customer who asked for oat milk. Noor grabs a milk pitcher and fills it with **oat milk**.

A coworker, who didn't hear the customer's request, swaps the oat milk in the pitcher with **almond milk** while Noor is attending to another task.

## Scenario 1

Noor **does not see** her coworker swapping the milk.



What does Noor believe is in the milk pitcher?

Noor believes that the milk pitcher contains **oat milk**.

**False Belief**



LLM

## Scenario 2

Noor **sees** her coworker swapping the milk.



What does Noor believe is in the milk pitcher?

Noor believes that the milk pitcher contains **almond milk**.

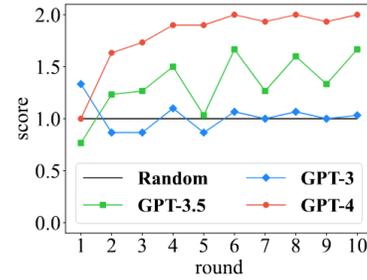
**True Belief**



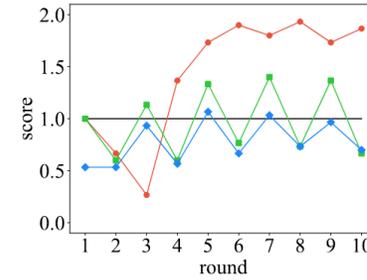
LLM

# Can LLMs Refine Belief?

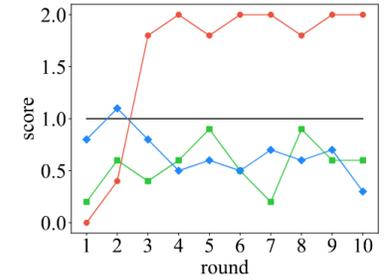
Name	Description
constant	remain constant
loop-2	loop between two actions
loop-3	loop among three actions
copy	copy opponent's previous action
counter	counter opponent's previous action
sample	sample in preference probability



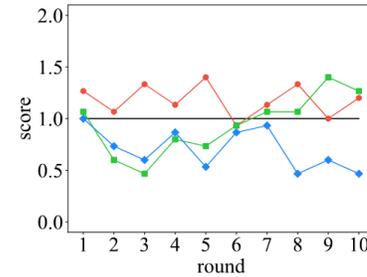
(a) constant



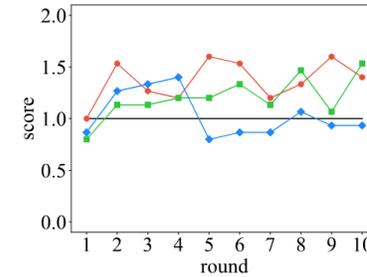
(b) loop-2



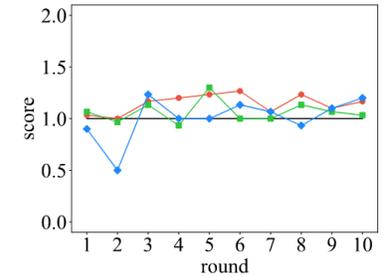
(c) loop-3



(d) copy

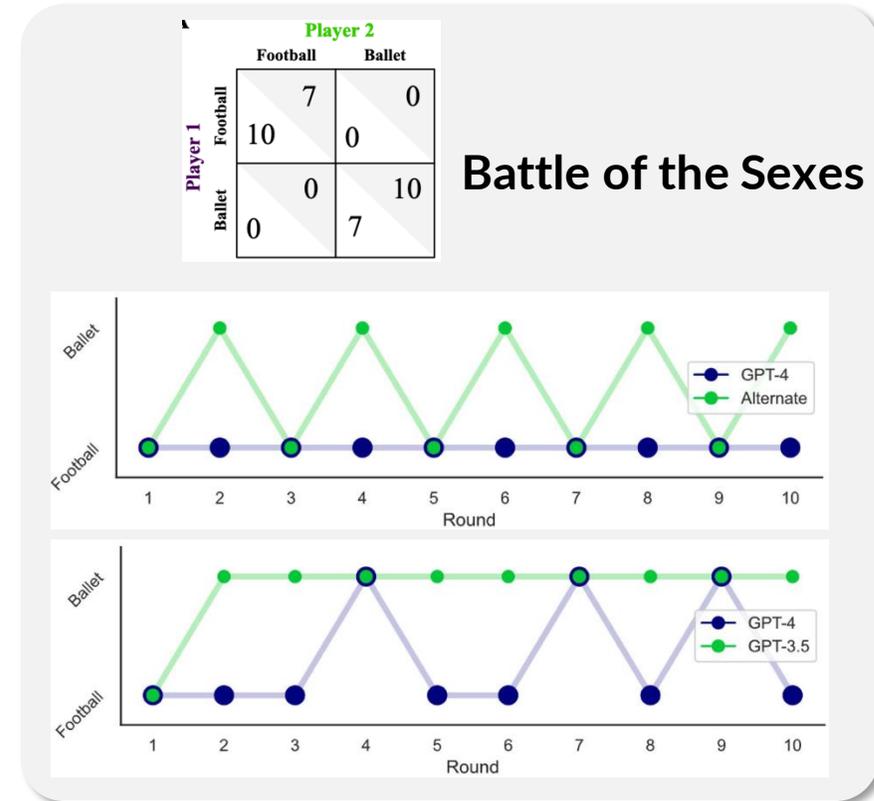
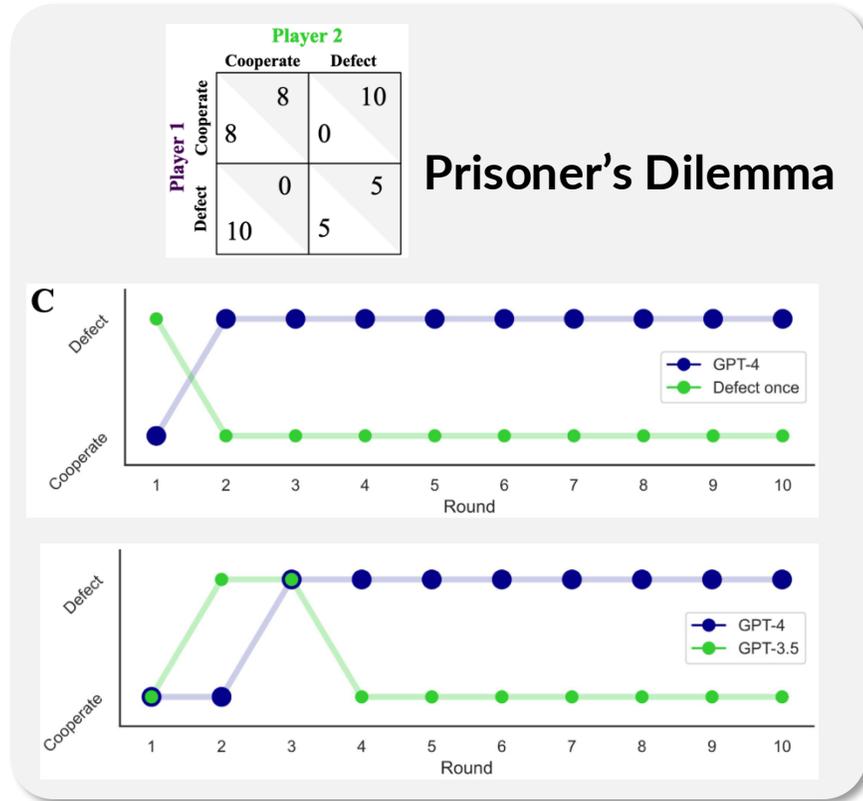


(e) counter

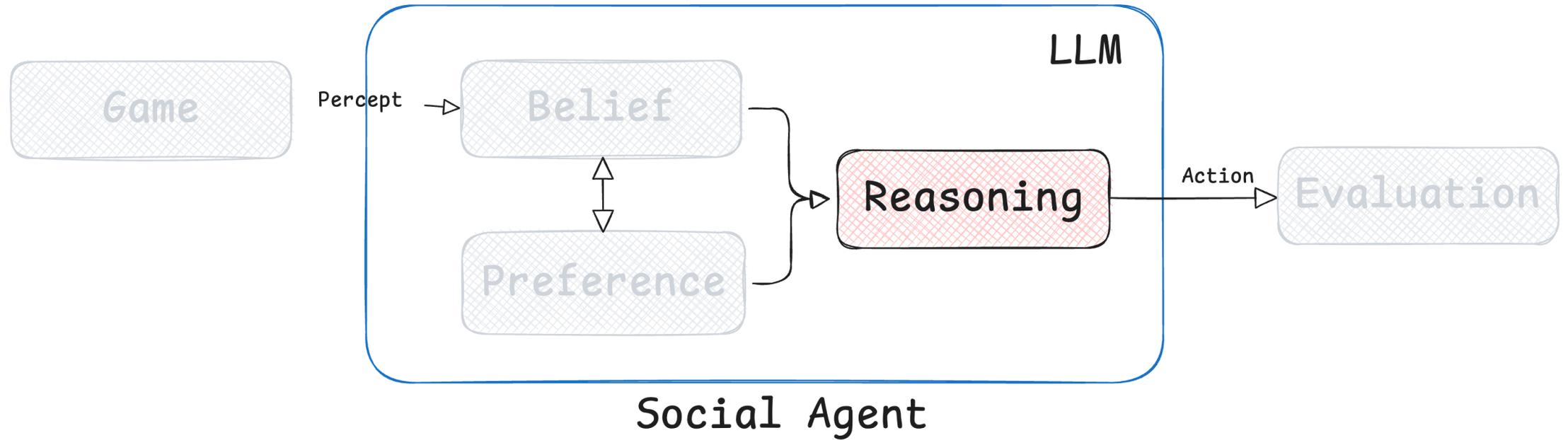


(f) sample

# Can LLMs Refine Belief?



# Reasoning Module



# Reasoning Module

- The involvement of multiple participants requires reasoning about the opponents' mental states.
  - Theory-of-Mind Reasoning
- The dynamic nature of the environment necessitates proactive exploration and evaluation of current and future possible states.
  - Reinforcement Learning-style Reasoning

# Theory-of-Mind Reasoning

## Theory-of-Mind Reasoning

### Prisoner's Dilemma

Payoff	Cooperate	Defect
Cooperate	(3, 3)	(0, 5)
Defect	(5, 0)	(1, 1)



LLM

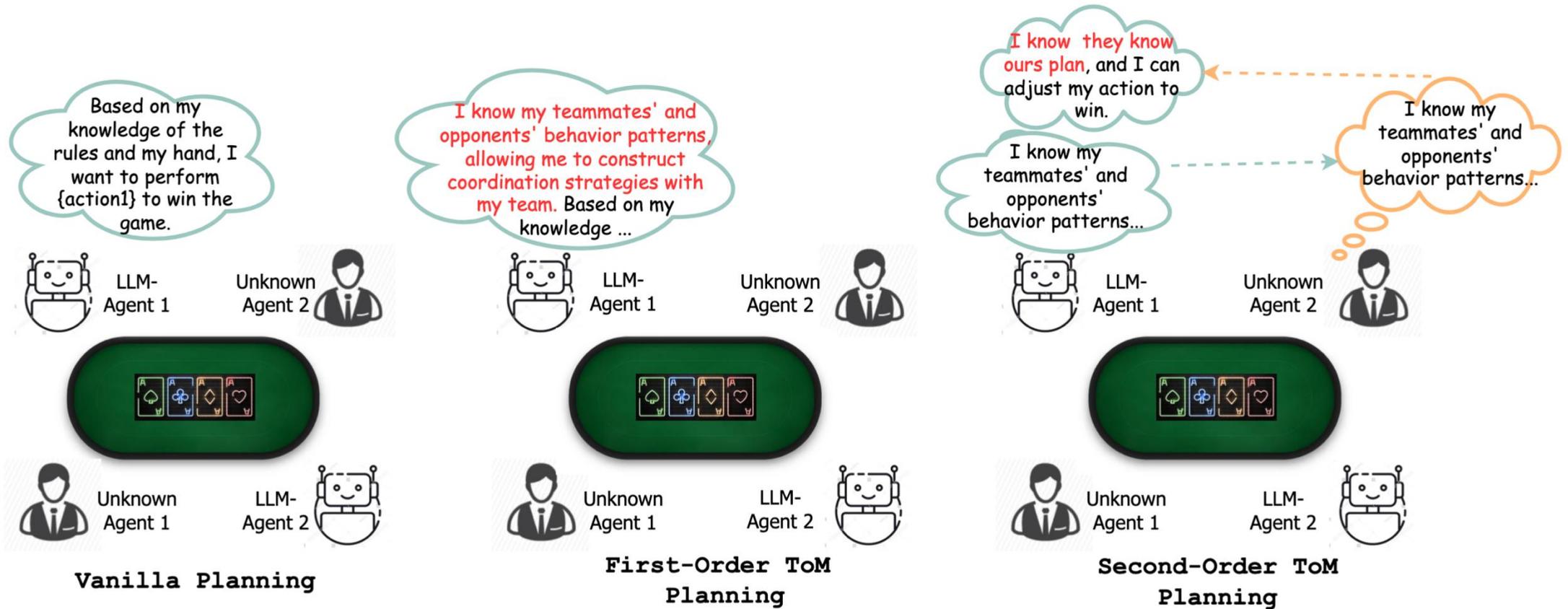
### Instruction

You can select one of the two choices: Cooperate or Defect. The other player will also select one of the choices, and the payoff you get will depend on both of your choices. Payoff is determined as the matrix.

### Reasoning

Since defect is the dominant strategy for the other party, they will definitely choose to defect. Therefore, my decision is to defect as well.

# Poker



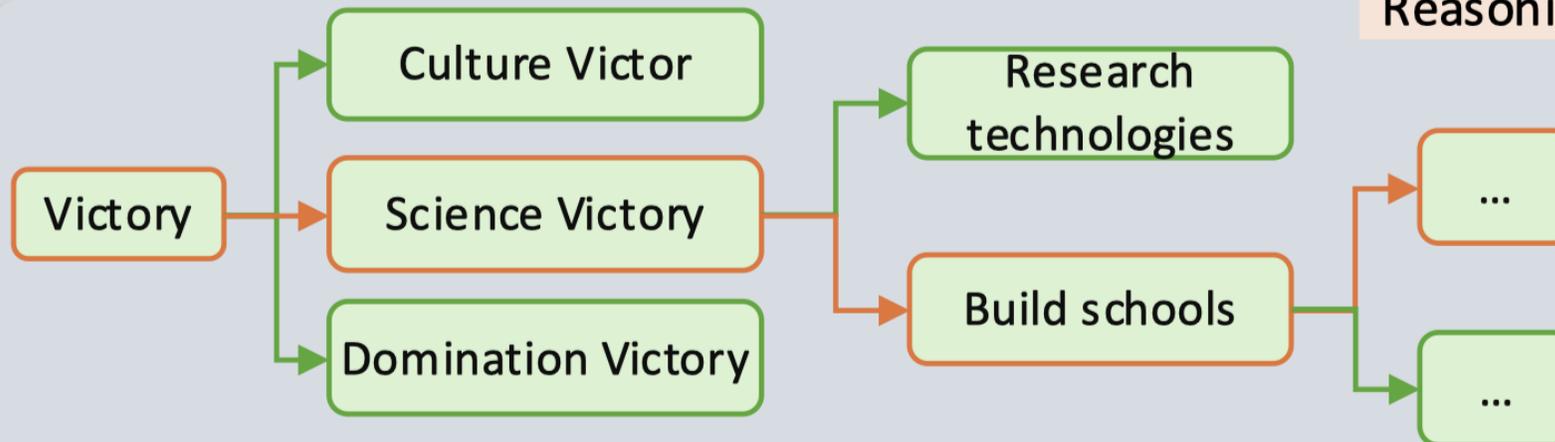
# Reinforcement Learning-style Reasoning

## Reinforcement Learning-style Reasoning

Instruction

As a player participating in the Civilization game, your ultimate goal is to lead your nation to victory.

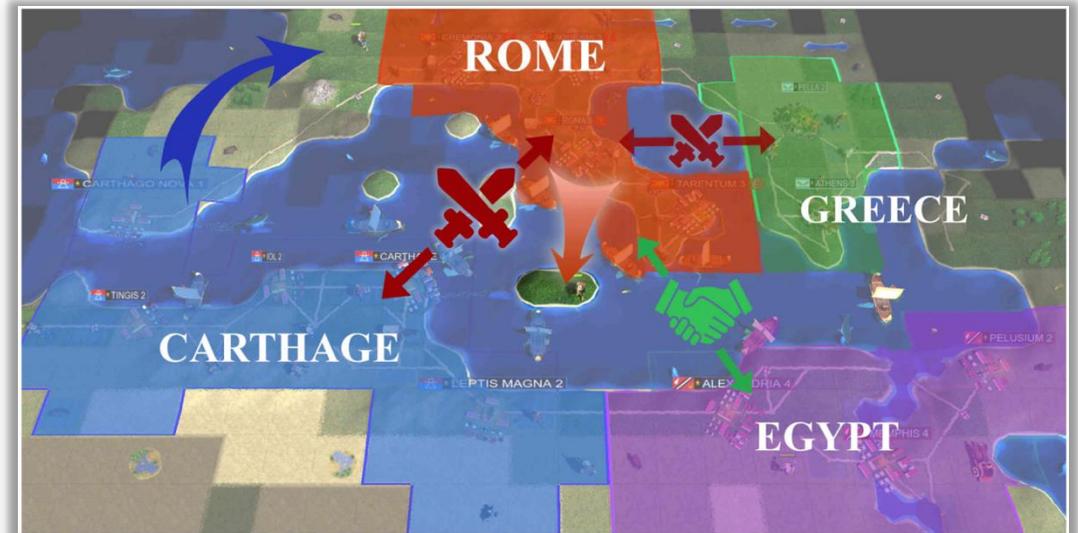
Reasoning



Social agents select appropriate winning strategies through search.

# CivRealm

- Multi-objective scenarios require complex search processes to achieve comprehensive victory.

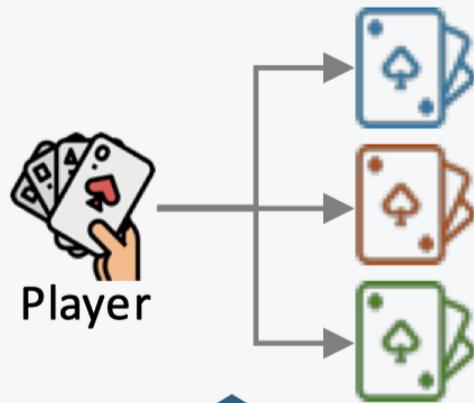


# ToM + RL Reasoning

## Hybrid-form Reasoning

Instruction

As a poker player, your goal is to collaborate with your teammate to defeat the opponents.



My teammate, with only two cards remaining, will be unable to assist in securing a priority victory.

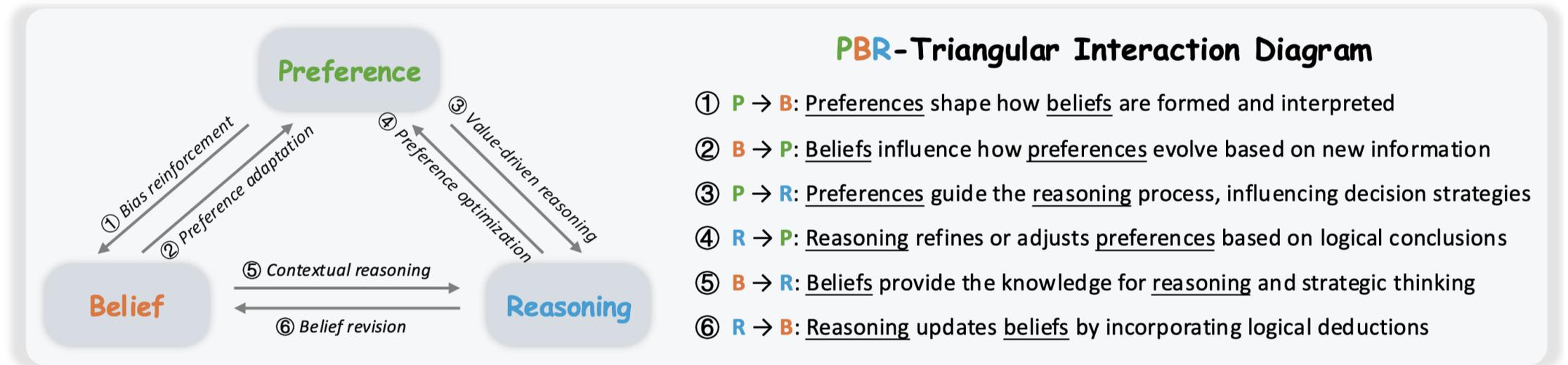
The opponent currently holds more cards, making it likely that they will overpower me.

I can achieve a higher probability of gaining a temporary lead and avoid being passive. 

**Reinforcement Learning  
-style Reasoning**  
*Agent selects potential  
strategies through search.*

**Theory-of-Mind Reasoning**  
*Considering the current states of both opponent  
and teammate, make the final choice.*

# PBR-Triangular Interaction



## Concrete Descriptions and Examples

### Preference → Belief (Bias Reinforcement)

How Preferences **Influence** Beliefs

- **Scenario:** If an AI assistant is designed with a preference for privacy, it may develop a belief that data-sharing always carries risks, even when evidence suggests potential benefits.
- **Effect:** The Preference Module biases the Belief Module, causing selective belief formation.

### Belief → Preference (Preference Adaptation)

How Beliefs **Shape** Preferences

- **Scenario:** A poker-playing AI initially avoids bluffing (due to an initial preference for honesty), but after repeatedly observing successful bluffs, it revises its preference to include strategic deception.
- **Effect:** The Belief Module influences the Preference Module, adjusting the model's value system based on new insights.

### Preference → Reasoning (Value-Driven Reasoning)

How Preferences **Guide** Reasoning

- **Scenario:** A recommendation system prioritizing user satisfaction may reason that suggesting familiar content is safer, rather than exploring diverse recommendations, to avoid potential user dissatisfaction.
- **Effect:** The Preference Module affects the Reasoning Module, shaping decision strategies based on prioritized values.

### Reasoning → Preference (Preference Optimization)

How Reasoning **Refines** Preferences

- **Scenario:** A self-driving car's reasoning process determines that aggressive lane-cutting increases efficiency but raises accident risks, causing it to adjust its preference toward safer driving strategies.
- **Effect:** The Reasoning Module helps optimize the Preference Module, aligning preferences with practical reasoning.

### Belief → Reasoning (Contextual Reasoning)

How Beliefs **Provide a Foundation** for Reasoning

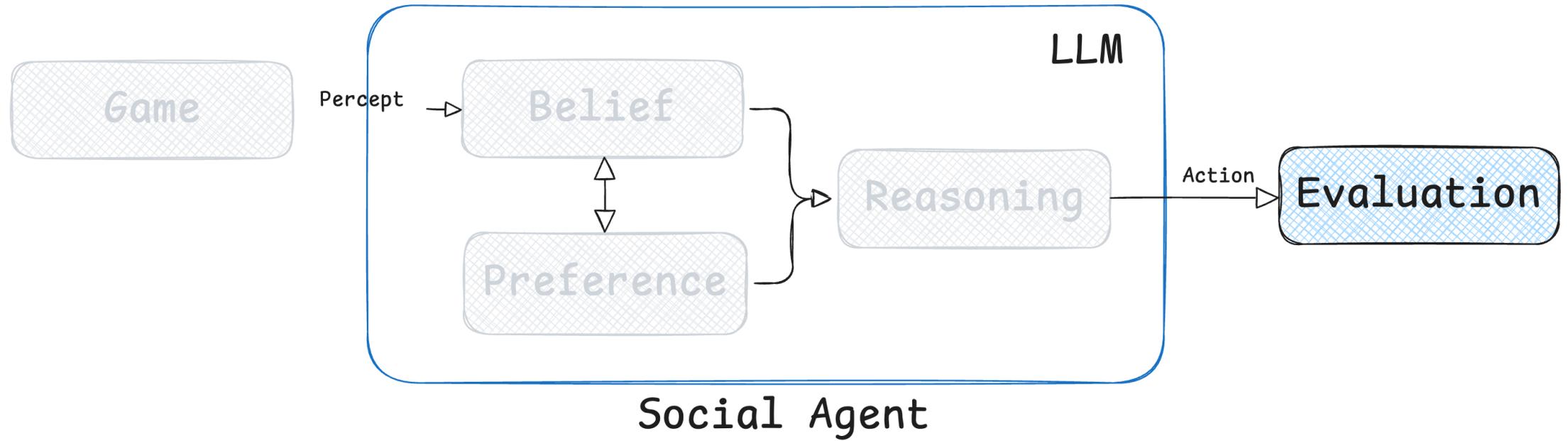
- **Scenario:** A trading bot believes that market trends follow cyclical patterns, so when reasoning about investment strategies, it uses historical patterns as a foundation for decision-making.
- **Effect:** The Belief Module informs the Reasoning Module, ensuring logical decisions are grounded in prior knowledge.

### Reasoning → Belief (Belief Revision)

How Reasoning **Updates** Beliefs

- **Scenario:** A fraud detection AI initially believes that transactions above \$10,000 are suspicious, but after running extensive analysis, it revises this belief, learning that context (e.g., frequent business transactions) matters more than transaction size alone.
- **Effect:** The Reasoning Module updates Belief Module, ensuring beliefs evolve based on logical analysis.

# Evaluation



# Performance Summary

Type	Game	Backbone Model	Metric	Perfect Score	Human Score	Agent Score	Score Rate	Pass
Choice-Focusing Game	Prisoner's Dilemma (Brookins & DeBacker, 2023)	GPT-3.5	Dominant Strategy Selection Rate	100%	-	34.60%	34.60%	✗
	Poker (Texas No-Limit Hold'em) (Zhuang et al., 2025)	GPT-4	Action Accuracy	100%	-	65.54%	65.54%	✓
	Poker (Guandan) (Yim et al., 2024)	GPT-4	Game-specific Score	4	-	2.17	54.25%	✗
	StarCraft II (Ma et al., 2023)	GPT-4	Win Rate	100%	-	60%	60.00%	✓
	Guess 2/3 of the Average (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	91.60	91.60%	✓
	El Farol Bar (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	23.00	23.00%	✗
	Divide the Dollar (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	98.10	98.10%	✓
	Public Goods Game (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	89.20	89.20%	✓
	Diner's Dilemma (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	0.90	0.90%	✗
	Sealed-Bid Auction (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	24.20	24.20%	✗
	Battle Royale (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	86.80	86.80%	✓
Pirate Game (tse Huang et al., 2024)	GPT-4	Game-specific Score	100	-	85.40	85.40%	✓	
Communication-Focusing Game	Bargaining (Shapira et al., 2024)	Gemini-1.5-Flash	Efficiency	1	0.89	0.88	88.00%	✓
		Qwen-2-7B	Fairness	1	0.71	0.87	87.00%	✓
	Negotiation (Shapira et al., 2024)	Llama-3-8B	Efficiency	1	0.65	0.75	75.00%	✓
		Llama-3.1-8B	Fairness	1	0.39	0.91	91.00%	✓
	Persuasion (Shapira et al., 2024)	Qwen-2-7B	Efficiency	1	0.55	0.78	78.00%	✓
		Qwen-2-7B	Fairness	1	0.41	0.63	63.00%	✓
	Werewolf (Xu et al., 2023d)	GPT-4	Win Rate	100%	52%	52%	52.00%	✗
Jubensha (Wu et al., 2024a)	GPT-4	Murderer Identification Accuracy	100%	-	66%	66.00%	✓	

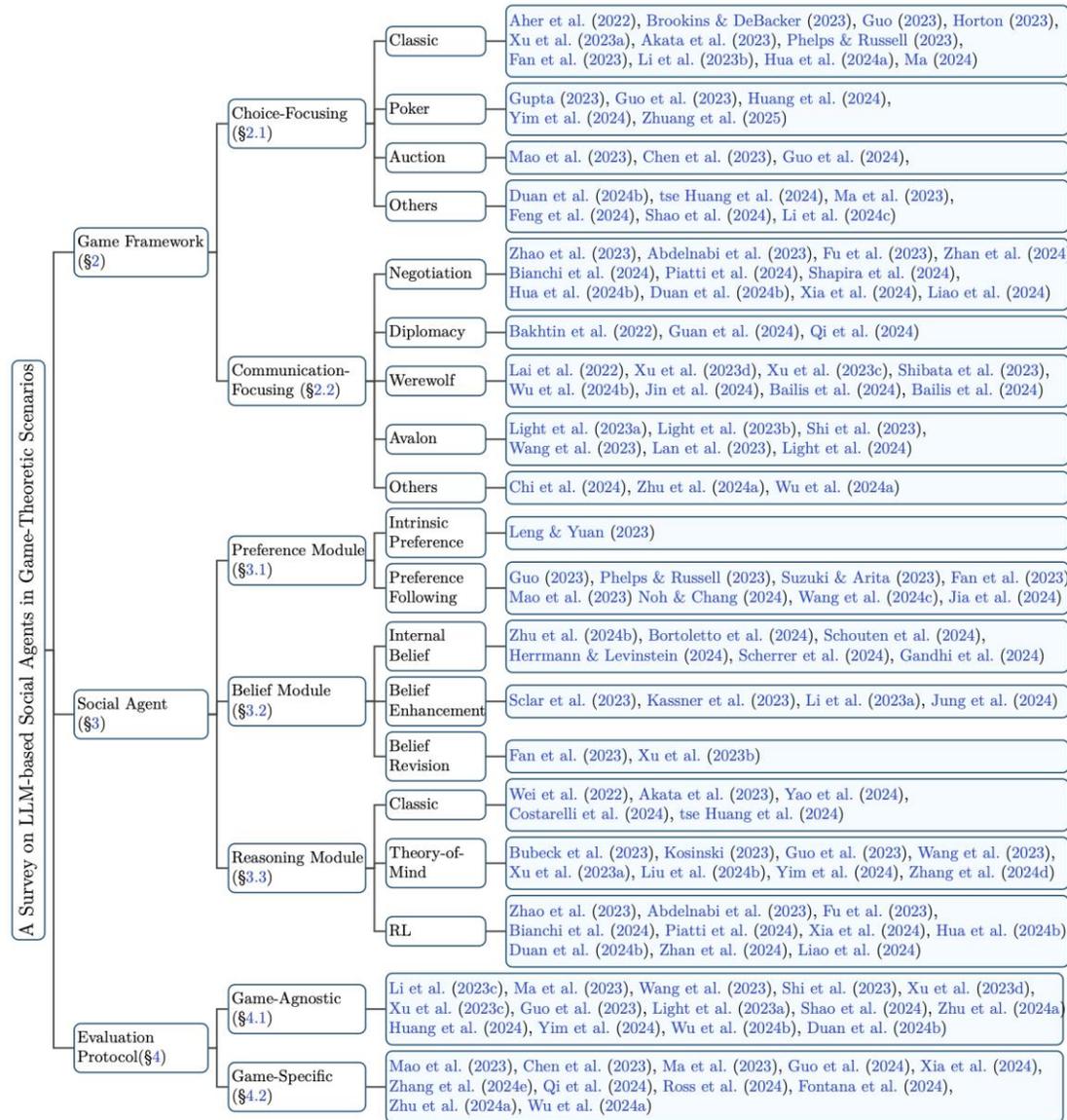
# Broader Impact Statement

Stage	Description	Potential Risks	Mitigation Strategies
Designing Social Agents	Focuses on creating the underlying algorithms that shape the agent's behavioral preferences.	Poorly designed algorithms may lead to negative behaviors (e.g., deception, manipulation, bias amplification).	<ul style="list-style-type: none"> <li>✓ Enhance alignment algorithms (safety and moral alignment).</li> <li>✓ Develop behavioral plugins as dynamic controllers.</li> </ul>
Evaluating Social Agents	Involves rigorous testing of agents before real-world deployment to assess their behavior.	Agents with undetected negative behaviors (e.g., aggression, exploitation) may proceed to deployment.	<ul style="list-style-type: none"> <li>✓ Evaluate agents in diverse game scenarios.</li> <li>✓ Establish a benchmarking framework for behavioral assessment.</li> </ul>
Deploying Social Agents	Covers the rollout of agents into real-world applications, starting with controlled environments.	Unforeseen negative consequences (e.g., misinformation, trust erosion) may emerge at scale.	<ul style="list-style-type: none"> <li>✓ Start with low-risk, small-scale deployments.</li> <li>✓ Gradually expand while monitoring anomalies in real time.</li> </ul>
Supervising Social Agents	Ensures ongoing oversight and management of deployed agents to prevent harm.	Scalability of harm, impersonation, or subtle decision manipulation may go unchecked.	<ul style="list-style-type: none"> <li>✓ Design automated monitoring systems for real-time surveillance.</li> <li>✓ Use behavioral analysis for early warnings.</li> </ul>

# Conclusion

- Preference, belief, and reasoning are the three core modules within a social agent.
- Future work can continue to explore areas such as standardized benchmark generation, reinforcement learning agents, behavior pattern mining, and pluralistic game-theoretic scenarios.
- There is an urgent need for interdisciplinary research with the social sciences to clarify key scientific questions.
- Social agents are an essential pathway toward AGI, and more precise control as well as more effective simulation require further in-depth investigation.

# Survey





SCHOOL OF  
**COMPUTING &  
DATA SCIENCE**  
The University of Hong Kong



香港大學自然語言處理實驗室

Natural Language Processing Group, The University of Hong Kong

**Thanks!**